# Stone dualities

# Marina Schwidefsky

Sobolev Institute of Mathematics, SB RAS

Women in Mathematics November 23, 2024

3

(4月) (4日) (4日)

An algebraic structure  $\langle {\it L}; \lor, \land \rangle$  is a **lattice** if

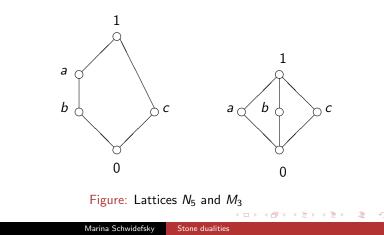
•  $\vee^2$  and  $\wedge^2$  are idempotent, commutative, associative operations;

• for all 
$$a, b \in L$$
,  $a \land (a \lor b) = a = a \lor (a \land b)$ .

A lattice L is **distributive** if, for all  $a, b, c \in L$ ,

$$a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c).$$

Not each lattice is distributive!  $N_5$  and  $M_3$  are the "minimal" non-distributive lattices.



# Theorem (M. H. Stone)

The category of distributive (0, 1)-lattices with (0, 1)-homomorphisms is dually equivalent to the category of spectral spaces with spectral maps.

# Theorem (M. H. Stone)

The category of Boolean algebras with homomorphisms is dually equivalent to the category of Boolean spaces with continuous maps.

3

One can generalize these results of M. H. Stone in two directions:

- dualities for distributive posets;
- dualities for (0, 1)-lattices which are close to distributive.

Dualities for distributive posets

문어 문

\_\_\_\_

For a poset  $\langle P; \leq \rangle$  and  $X \subseteq P$ : L(X) is the set of all lower bounds of X;U(X) is the set of all upper bounds of X.

#### **Distributive posets**

A *c*-**poset** is a structure  $\mathcal{P} = \langle P; \leq, \varphi \rangle$  such that:

- $\langle P; \leq \rangle$  is a poset;
- φ is an algebraic closure operator on P which defines a completion of ⟨P; ≤⟩; that is,

$$\varphi \colon P \to \mathsf{Id}\,\mathfrak{P}, \quad \varphi \colon x \mapsto \varphi(x)$$

is an order embedding of  $\langle P; \leq \rangle$  into the complete lattice  $\operatorname{Id} \mathfrak{P}$  of  $\varphi$ -closed subsets of P.

#### Corollary

If 
$$\mathfrak{P} = \langle \mathsf{P}; \leq, arphi 
angle$$
 is a c-poset then  $arphi(x) = \mathsf{L}(x)$  for all  $x \in \mathsf{P}$ .

A *c*-poset  $\mathcal{P} = \langle P; \leq, \varphi \rangle$  is **distributive** or just a **distributive poset**, if the following condition is satisfied:

• the lattice  $\operatorname{Id} \mathcal{P}$  is distributive.

Any  $\varphi$ -closed subset of *P* is a  $\varphi$ -ideal of  $\langle P; \leq \rangle$  or just an ideal of  $\mathcal{P}$ .

A set  $F \subseteq P$  is a **filter** of  $\langle P; \leq \rangle$  if it is an upper cone which is down-directed with respect to  $\leq$ .

### Lemma (Ts. Batueva, MS)

For a c-poset  $\mathfrak{P} = \langle P; \leq, \varphi \rangle$  and a proper ideal I of  $\mathfrak{P}$ , TFAE.

- $P \setminus I$  is a filter of  $\langle P; \leq \rangle$ .
- **2** I is a  $\cap$ -prime element in Id  $\mathcal{P}$ .
- **◎**  $L(a_0, a_1) \subseteq I$  implies that  $a_i \in I$  for some i < 2.

An ideal I of  $\mathcal{P}$  is **prime** if it satisfies one of the equivalent statements of Lemma above.

#### Theorem (Ts. Batueva, MS)

Let  $\mathfrak{P} = \langle P; \leq, \varphi \rangle$  be a distributive *c*-poset, let  $I \subseteq P$  be a nonempty ideal of  $\mathfrak{P}$ , and let  $F \subseteq P$  be a nonempty filter such that  $I \cap F = \emptyset$ . Then there is a prime ideal  $Q \subseteq P$  such that  $I \subseteq Q$  and  $Q \cap F = \emptyset$ .

# The category DP

Let **DP** denote the category whose objects are distributive *c*-posets and whose morphisms are mappings  $f: P_0 \rightarrow P_1$ , where  $\mathcal{P}_0 = \langle P_0; \leq, \varphi_0 \rangle$  and  $\mathcal{P}_1 = \langle P_1; \leq, \varphi_1 \rangle$  are distributive *c*-posets, which satisfy the following condition:

f is proper; that is, f<sup>-1</sup>(I) is a prime ideal of P<sub>0</sub> for each prime ideal I of P<sub>1</sub>;

**DP**-morphisms preserve meets, joins, 0, 1. In particular, they are monotone.

#### Lemma

Let  $S_0$  and  $S_1$  be distributive (0,1)-lattices. Then  $f: S_0 \to S_1$  is a **DP**-morphism if and only if f is a (0,1)-lattice homomorphism.

Consider the following full subcategories of **DP**:

- the category **DP**<sub>1</sub> whose objects are distributive posets with 1;
- the category **DP**<sub>0</sub> whose objects are distributive posets with 0;
- the category **DP**<sub>0,1</sub> whose objects are distributive posets with 0, 1;
- the category DSL<sup>^</sup> whose objects are distributive ^-semilattices;
- the category DSL<sup>^</sup><sub>1</sub> whose objects are distributive ^-semilattices with 1;
- the category  $\textbf{DSL}_0^\wedge$  whose objects are distributive  $\wedge\text{-semilattices}$  with 0;
- the category  $\textbf{DSL}_{0,1}^{\wedge}$  whose objects are distributive  $\wedge\text{-semilattices with 0,1;}$

- the category DSL<sup>∨</sup> whose objects are distributive ∨-semilattices;
- the category DSL<sup>∨</sup><sub>1</sub> whose objects are distributive ∨-semilattices with 1;
- the category DSL<sub>0</sub><sup>∨</sup> whose objects are distributive ∨-semilattices with 0;
- the category DSL<sup>∨</sup><sub>0,1</sub> whose objects are distributive ∨-semilattices with 0, 1;
- the category **DL** whose objects are distributive lattices;
- the category **DL**<sub>1</sub> whose objects are distributive 1-lattices;
- the category **DL**<sub>0</sub> whose objects are distributive 0-lattices;
- the category **DL**<sub>0,1</sub> whose objects are distributive (0, 1)-lattices.

# Spectra of posets [Yu. L. Ershov, MS]

Let  $\mathfrak{P} = \langle P; \leq, \varphi \rangle$  be a *c*-poset. Spec  $\mathfrak{P}$  is the set of all prime ideals of  $\mathfrak{P}$ . For each  $a \in P$ , we put

$$V_{a} = \{I \in \operatorname{Spec} \mathfrak{P} \mid a \notin I\}.$$

The space  $\mathbb{S}pec \mathcal{P} = \langle Spec \mathcal{P}, \mathcal{T}, \mathcal{B} \rangle$ , where  $\mathcal{T}$  denotes the topology with the basis  $\mathcal{B} = \{ V_a \mid a \in P \}$ , is the **spectrum of poset**  $\mathcal{P}$ .

The space  $S \in S$  is called the **spectrum of a join-semilattice**  $\langle S; \lor \rangle$ , where  $S = \langle S; \lor, \psi \rangle$  and

 $\psi(X) = \{ s \in S \mid s \le a \lor \ldots \lor a_n \text{ for some } n < \omega, a_0, \ldots, a_n \in X \}.$ 

The **spectrum of a lattice**  $\langle L; \lor, \land \rangle$  is the spectrum of its join-semilattice reduct  $\langle L; \lor \rangle$ .

#### Lemma

For a c-poset  $\mathfrak{P} = \langle P; \leq, \varphi \rangle$ , the following statements hold.

- If a ∧ b exists in P for some a, b ∈ P then V<sub>a∧b</sub> = V<sub>a</sub> ∩ V<sub>b</sub>. If P is distributive then V<sub>a</sub> ∩ V<sub>b</sub> = V<sub>c</sub> for some c ∈ P implies that c = a ∧ b in P.
- If ⟨P; ≤⟩ is a join-semilattice and φ = ψ then V<sub>a∨b</sub> = V<sub>a</sub> ∪ V<sub>b</sub> for all a, b ∈ P. If P is distributive then V<sub>a</sub> ∪ V<sub>b</sub> = V<sub>c</sub> for some c ∈ P implies that c = a ∨ b in P.

#### Sober spaces

Let X be a  $T_0$ -space.

A subset  $Y \subseteq X$  is **irreducible** if  $Y \subseteq F_0 \cup F_1$  for some closed sets  $F_0, F_1$  implies that  $Y \subseteq F_i$  for some i < 2.

 $\mathbb{X}$  is **sober** if for each nonempty closed irreducible set  $F \subseteq X$ , there is  $x \in X$  such that  $F = \downarrow x$ .

 $\mathbb{X}$  is **almost sober** if for each proper closed irreducible set  $F \subseteq X$ , there is  $x \in X$  such that  $F = \downarrow x$ .

# Proposition (Yu. L. Ershov, MS)

For a *c*-poset  $\mathcal{P} = \langle P; \leq, \varphi \rangle$ , the following statements hold.

- **2** Spec  $\mathcal{P}$  is sober whenever *P* has 0.
- If 𝒫 is down-directed distributive and Spec 𝒫 is sober then ⟨P; ≤⟩ has 0.

### Proposition (Yu. L. Ershov, MS)

For a distributive c-poset  $\mathcal{P} = \langle P; \leq, \varphi \rangle$ , the following statements hold.

- On the set V<sub>a</sub> is compact in Spec 𝒫 for every a ∈ S. In particular, Spec 𝒫 is compact whenever ⟨P; ≤⟩ has 1.
- **2** If  $\mathcal{P}$  is up-directed and  $\mathbb{S}$ pec  $\mathcal{P}$  is compact then  $\langle P; \leq \rangle$  has 1.

For a  $T_0$ -space X and  $\mathfrak{F} \subseteq \mathfrak{T}(X)$ , define a closure operator  $\varphi_{\mathfrak{F}}$  on  $\mathfrak{F}$  as follows. If  $\mathfrak{X} \subseteq \mathfrak{F}$  then

$$\varphi_{\mathfrak{F}}(\mathfrak{X}) = \{ U \in \mathfrak{F} \mid U \subseteq \bigcup \mathfrak{X} \}.$$

If  ${\mathcal F}$  consists of compact sets, then  $\varphi_{{\mathcal F}}$  is algebraic.

#### Lemma

If a family  $\mathcal{B}$  of compact open sets in  $\mathbb{X}$  forms a base of  $\mathcal{T}(\mathbb{X})$  then the c-poset  $\mathfrak{B} = \langle \mathfrak{B}; \subseteq, \varphi_{\mathfrak{B}} \rangle$  is distributive.

3

∃ >

\_\_\_\_

### Spaces with base

#### Definition

A triple  $\mathbb{X} = \langle X, \mathfrak{T}, \mathfrak{B} \rangle$  is a **topological space with base** or just a **space with base**, if

- $\langle X, \mathfrak{T} \rangle$  is a  $T_0$ -space and  $\mathfrak{B}$  forms a base of  $\mathfrak{T}$ ;
- **2**  $\langle X, \mathfrak{T} \rangle$  is sober and  $\mathcal{B}$  is down-directed if and only if  $\emptyset \in \mathcal{B}$ ;
- **③** X is compact in (X, T) and B is up-directed if and only if X ∈ B.

 $\mathcal{B}$  is a **multiplicative base** if  $\mathcal{B}$  is closed under finite nonempty intersections.  $\mathcal{B}$  is an **additive base** if  $\mathcal{B}$  is closed under finite nonempty unions.

 $\mathcal{K}(\mathbb{X})$  is the set of all compact sets in  $\mathbb{X}$ .

#### Lemma

Let X be a space with additive base and let  $\mathcal{B}(X) \subseteq \mathcal{K}(X)$ . Then

$$\mathcal{B}(\mathbb{X}) = \begin{cases} \mathcal{K}(\mathbb{X}), & \text{if } \emptyset \in \mathcal{B}(\mathbb{X}); \\ \mathcal{K}(\mathbb{X}) \setminus \{\emptyset\}, & \text{if } \emptyset \notin \mathcal{B}(\mathbb{X}). \end{cases}$$

# Almost [semi]spectral spaces with base

### Definition

A space with base X is an **almost semispectral space with base**, if  $\langle X, T(X) \rangle$  is an almost sober space, and  $\mathcal{B}(X)$  consists of open compact sets.

 $\mathbb{X}$  is an **almost spectral space with base**, if  $\langle \mathbb{X}, \mathcal{T}(\mathbb{X}) \rangle$  is an almost sober space, and  $\mathcal{B}(\mathbb{X})$  is a multiplicative base of  $\mathcal{T}(\mathbb{X})$  consisting of open compact sets.

X is a [semi]spectral space with base, if X is almost [semi]spectral space with base,  $\emptyset, X \in \mathcal{B}(X)$ , and  $\langle X, T(X) \rangle$  is a compact sober space.

#### The category AS

Let **AS** be the category whose objects are almost semispectral spaces with base and whose morphisms are **spectral** mappings:

if  $f : \mathbb{X} \to \mathbb{Y}$ , where  $\mathbb{X}, \mathbb{Y} \in \mathbf{AS}$  then  $f^{-1}(U) \in \mathcal{B}(\mathbb{X})$  for all  $U \in \mathcal{B}(\mathbb{Y})$ .

#### Lemma

If  $\mathbb{X}, \mathbb{Y}$  are almost semispectral spaces with base and  $f : \mathbb{X} \to \mathbb{Y}$  is spectral then f is continuous.

3

We consider the following full subcategories of the category **AS**:

- the category **AS**<sub>c</sub> whose objects are compact almost semispectral spaces with base;
- the category AS<sub>s</sub> whose objects are sober almost semispectral spaces with base;
- the category **S** whose objects are semispectral spaces with base;
- the category **ASp** whose objects are almost spectral spaces with base;
- the category ASp<sub>c</sub> whose objects are compact almost spectral spaces with base;
- the category ASp<sub>s</sub> whose objects are sober almost spectral spaces with base;
- the category Sp whose objects are spectral spaces with base;

- the category AsSpec whose objects are almost semispectral spaces;
- the category AsSpec<sub>c</sub> whose objects are compact almost semispectral spaces;
- the category AsSpec<sub>s</sub> whose objects are sober almost semispectral spaces;
- the category **sSpec** whose objects are semispectral spaces;
- the category ASpec whose objects are almost spectral spaces;
- the category ASpec<sub>c</sub> whose objects are compact almost spectral spaces;
- the category ASpec<sub>s</sub> whose objects are sober almost spectral spaces;
- the category **Spec** whose objects are spectral spaces.

### Theorem (Yu. L. Ershov, MS)

For a  $T_0$ -space X, the following holds.

- If X is a semispectral space if and only if X is homeomorphic to the spectrum of a distributive (0,1, ∨)-semilattice.
- X is a compact almost semispectral space if and only if X is homeomorphic to the spectrum of a distributive (1, ∨)-semilattice.
- S X is sober almost semispectral if and only if X is homeomorphic to the spectrum of a distributive (0, ∨)-semilattice.
- ③ X is almost semispectral if and only if X is homeomorphic to the spectrum of a distributive ∨-semilattice.

### Theorem (Yu. L. Ershov, MS)

For a  $T_0$ -space X, the following holds.

- X is spectral if and only if X is homeomorphic to the spectrum of a distributive (0,1)-lattice.
- X is compact almost spectral if and only if X is homeomorphic to the spectrum of a distributive 1-lattice.
- X is sober almost spectral if and only if X is homeomorphic to the spectrum of a distributive 0-lattice.
- X is almost spectral if and only if X is homeomorphic to the spectrum of a distributive lattice.

These two theorems extend to a full duality.

# The functor ⊤

$$\begin{split} \mathsf{T} \colon \mathbf{DP} &\to \mathbf{AS}; \\ \mathsf{T} \colon \mathcal{P} &\mapsto \mathbb{S}\mathsf{pec}\,\mathcal{P}; \\ \mathsf{if} \ f \colon \mathcal{P}_0 &\to \mathcal{P}_1 \ \mathsf{then} \ \mathsf{T}(f) \colon I \mapsto f^{-1}(I). \end{split}$$

▲圖▶ ▲屋▶ ▲屋▶

3

### The functor P

 $\begin{array}{l} \mathsf{P} \colon \mathbf{AS} \to \mathbf{DP};\\\\ \mathsf{P} \colon \mathbb{X} \mapsto \langle \mathcal{B}(\mathbb{X}); \subseteq, \varphi_{\mathcal{B}} \rangle;\\\\ \text{if } f \colon \mathbb{X}_0 \to \mathbb{X}_1 \text{ then } \mathsf{T}(f) \colon U \mapsto f^{-1}(U). \end{array}$ 

3

#### Theorem

The categories **DP** and **AS** are dually equivalent via P and T. The categories **DP**<sub>fin</sub> and **AS**<sub>fin</sub> are therefore also dually equivalent.

**B** b

# An instance:

# Corollary

 $\mathsf{P}$  and  $\mathsf{T}$  establish the dual equivalence of categories  $\textbf{DSL}_0^\wedge$  and  $\textbf{ASp}_s.$ 

-2

\_\_\_\_

# Dualities for (0, 1)-lattices: quasivarieties generated by finite (0, 1)-lattices

-∰ ► < ≣ ►

The quasivariety  $SP(N_5)$ 

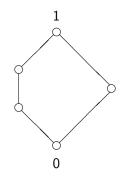


Figure: Lattice N<sub>5</sub>

3

#### Definition (W.A. Dziobiak, MS)

A structure  $\mathbb{S} = \langle X, Y, \leq, f \rangle$  is an  $N_5$ -space, if

(s1) 
$$X \cap Y = \emptyset$$
 and  $X \cup Y \neq \emptyset$ ;

(s2)  $\leq$  is a partial order on  $X \cup Y$ ;

(s3)  $f: Y \to X^2$  is a function and for all  $y \in Y$  with f(y) = (a, b), the following conditions hold:

- $a \le y$  and  $\{a, b\}$ ,  $\{y, b\}$  are antichains;
- if  $a, b \leq z$  for some  $z \in X \cup Y$  then  $y \leq z$ ;
- if  $z \le y$  for some  $z \in X \cup Y$  then either  $z \le a$  or  $z \le b$ , or  $z \in Y$  and  $\{u, v\} \ll \{a, b\}$  where f(z) = (u, v).

#### Definition (W.A. Dziobiak, MS)

Let 
$$\mathbb{S} = \langle X, Y, \leq, f \rangle$$
 and  $\mathbb{S}' = \langle X', Y', \leq, f \rangle$  be  $N_5$ -spaces. Then  $\varphi \colon \mathbb{S} \to \mathbb{S}'$  is a  $N_5$ -morphism, if the following conditions hold:

- (m1)  $\varphi$  maps  $X \cup Y$  into  $X' \cup Y' \cup \{\{a, b\} \mid a, b \in X'\};$
- (m2) if  $u, v \in X \cup Y$  are such that  $\varphi(u), \varphi(v) \in X' \cup Y'$  and  $u \leq v$  then  $\varphi(u) \leq \varphi(v)$ ;
- (m3) for all  $x \in X$ ,  $\varphi(x) \in X'$ ;
- (m4) for all  $y \in Y$  with f(y) = (a, b), the following holds:
  - if φ(y) ∈ X' then either φ(y) = φ(a) or φ(y) ≤ φ(b);
  - if  $\varphi(y) \in Y'$  then  $f(\varphi(y)) = (\varphi(a), \varphi(b));$
  - if  $\varphi(y) \notin X' \cup Y'$  then  $\varphi(y) = \{\varphi(a), \varphi(b)\}$  is an antichain and  $\{\varphi(a), \varphi(b)\} \ll \varphi(z)$  for all  $z \in X \cup Y$  with  $y \le z$ .

・ 同 ト ・ ヨ ト ・ ヨ ト …

Objects in N<sub>5</sub> are bi-algebraic (0, 1)-lattices belonging to **SP**( $N_5$ ). Morphisms in N<sub>5</sub> are complete (0, 1)-lattice homomorphisms.

Objects in  $B_5$  are  $N_5$ -spaces. Morphisms in  $B_5$  are  $N_5$ -morphisms.

### Theorem (W.A. Dziobiak, MS)

The categories  $N_5$  and  $B_5$  are dually equivalent.

Introducing a topology compatible with the  $N_5$ -structure, one extends this result to a duality between  $SP(N_5)$  and the category of topological  $N_5$ -spaces as follows.

Objects in  $L_5$  are (0, 1)-lattices belonging to  $SP(N_5)$ . Morphisms in  $L_5$  are (0, 1)-lattice homomorphisms.

Objects in  $T_5$  are spectral  $N_5$ -spaces. Morphisms in  $T_5$  are spectral  $N_5$ -morphisms.

Theorem (W. A. Dziobiak, MS)

The categories  $L_5$  and  $T_5$  are dually equivalent.

Similar duality results can also be established for:

- the quasivariety **SP**(*L*<sub>4</sub>) (A. O. Basheyeva, MS);
- the quasivariety **SP**(*L*<sub>6</sub>) (A. O. Basheyeva, MS);
- the quasivariety  $SP(M_3)$  (A. E. Izyurova, MS).

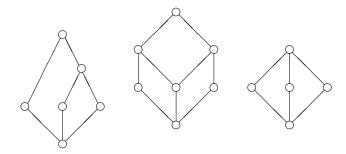


Figure: Lattices  $L_4$ ,  $L_6$ , and  $M_3$ 

Restrictions of all the dualities discussed to distributive lattices yield the Stone duality.