Harpedonaptae and Abstract Convexity *1

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Abstract—This is an overview of the origin and basic ideas of abstract convexity.

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The idea of convexity feeds generation, separation, calculus, and approximation. Generation appears as duality; separation, as optimality; calculus, as representation; and approximation, as stability. Convexity is traceable from the remote ages and flourishes in functional analysis.

1. HARPEDONAPTAE

Once upon a time mathematics was everything. It is not now but still carries the genome of *mathesis universalis*. Abstraction is the mother of reason and the gist of mathematics. It enables us to collect the particular instances of any many with some property we observe or study. Abstraction entails generalization and proceeds by analogy. The latter lies behind the algebraic approach to idempotent functional analysis [2] and, in particular, to the tropical theorems of Hahn–Banach type (for instance, [3]). Analogy is tricky and sometimes misleading. So, it is reasonable to overview the true origins of any instance of analogy from time to time. This article deals with abstract convexity which is the modern residence of Hahn–Banach.

Sometimes mathematics resembles linguistics and pays tribute to etymology, hence, history. Today's convexity is a centenarian, and abstract convexity is much younger. Vivid convexity is full of abstraction, but traces back to the idea of a solid figure which stems from Euclid. Book I of his *Elements* [1] expounded plane geometry and defined a boundary and a figure as follows:

Definition 13. A boundary is that which is an extremity of anything.

Definition 14. A figure is that which is contained by any boundary or boundaries.

Narrating solid geometry in Book XI, Euclid travelled in the opposite direction from a solid to a surface:

Definition 1. A solid is that which has length, breadth, and depth.

Definition 2. An extremity of a solid is a surface.

He proceeded with the relations of similarity and equality for solids:

Definition 9. Similar solid figures are those contained by similar planes equal in multitude.

Definition 10. Equal and similar solid figures *are those contained by similar planes equal in multitude and magnitude*.

^{*}The text was submitted by the author in English.

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These definitions seem vague, obscure, and even unreasonable if applied to the figures other than convex polygons and polyhedra. Euclid also introduced a formal concept of "cone" which has a well-known natural origin. However, convexity was ubiquitous in his geometry by default. The term "conic sections" was coined as long ago as 200 BCE by Apollonius of Perga. However, it was long before him that Plato had formulated his famous allegory of cave [6]. The shadows on the wall are often convex.

Now we can see in Euclid's implicit definition of a convex solid body the intersection of half-spaces. However, the concept of intersection belongs to set theory which appeared only at the end of the nineteenth century. We can look at the Euclid definition in another fashion and see an analogy with the beautiful and deep results such as the Cauchy lemma or the Alexandrov solution of the Minkowski problem. These views are not uncommon of the Euclid approach to the definition of a solid figure. However, it is wiser to seek for the origins of the ideas of Euclid in his past rather than his future. Euclid was a scientist not a foreteller.

The predecessors of Euclid are the harpedonaptae of Egypt as often sounds at the lectures on the history of mathematics. The harpedonaptae or rope-stretchers measured tracts of land in the capacity of surveyors. They administered *cadastral surveying* which gave rise to the notion of geometry.

If anyone stretches a rope that surrounds however many stakes, he will distinguish a convex polygon which is up to infinitesimals a typical compact convex set or abstract subdifferential of the present-day mathematics. The rope-stretchers discovered convexity experimentally by measurement. Hence, a few words are in order about these forefathers of their Hahn–Banach next of kin of today.

Herodotus wrote in Item 109 of Book II Enerpre [4] as follows:

Egypt was cut up: and they said that this king distributed the land to all the Egyptians, giving an equal square portion to each man, and from this he made his revenue, having appointed them to pay a certain rent every year: and if the river should take away anything from any man's portion, he would come to the king and declare that which had happened, and the king used to send men to examine and to find out by measurement how much less the piece of land had become, in order that for the future the man might pay less, in proportion to the rent appointed: and I think that thus the art of geometry was found out and afterwards came into Hellas also.

Herodotus never used the term "harpedonaptae" but this word is registered firstly in a letter of Democritus (460–370 BCE) who belonged to the same epoch as Herodotus (484–425 BCE) Datta in [5] wrote:

...One who was well versed in that science was called in ancient India as samkhyajna (the expert of numbers), parimanajna (the expert in measuring), sama-sutra-niranchaka (uniform-rope-stretcher), Shulba-vid (the expert in Shulba) and Shulba-pariprechaka (the inquirer into the Shulba).

Shulba also written as Śulva or Sulva was in fact the geometry of vedic times as codified in $Sulva S\bar{u}tras$. Since "veda" means knowledge, the vedic epoch and literature are indispensable for understanding the origin and rise of mathematics. In 1978 Seidenberg [7] wrote:

Old-Babylonia [1700 BC] got the theorem of Pythagoras from India or that both Old-Babylonia and India got it from a third source. Now the Sanskrit scholars do not give me a date so far back as 1700 B.C. Therefore I postulate a pre-Old-Babylonian (i.e., pre-1700 B.C.) source of the kind of geometric rituals we see preserved in the Sulvasutras, or at least for the mathematics involved in these rituals.

Some recent facts and evidence prompt us that the roots of rope-stretching spread in a much deeper past than we were accustomed to acknowledge. Although the exact chronology still evades us, the time has come to terminate this digression to the origins of geometry by the two comments of Kak [8] on the Seidenberg paper [7]:

That was before archaeological finds disproved the earlier assumption of a break in Indian civilization in the second millennium B.C.E.; it was this assumption of the Sanskritists that led Seidenberg to postulate a third earlier source. Now with our new knowledge, Seidenberg's conclusion of India being the source of the geometric and mathematical knowledge of the ancient world fits in with the new chronology of the texts.

... in the absence of conclusive evidence, it is prudent to take the most conservative of these dates, namely 2000 B.C.E. as the latest period to be associated with the Rigveda.

Stretching a rope taut between two stakes produces a closed straight line segment which is the continuum in modern parlance. Rope-stretching raised the problem of measuring the continuum. The continuum hypothesis of set theory is the shadow of the ancient problem of harpedonaptae. Rope-stretching independent of the position of stakes is uniform with respect to direction in space. The mental experiment of uniform rope-stretching yields a compact convex figure. The harpedonaptae were experts in convexity.

We see now that convexity is coeval with the basic ideas of experimental science and intrinsic to the geometric outlook of Ancient Greece where mathematics had acquired the ripen beauty of the science and art of provable calculations. It took millennia to transform sensual perceptions into formal mathematical definitions.

Convexity has found solid grounds in set theory. The Cantor paradise became an official residence of convexity. Abstraction becomes an axiom of set theory. The abstraction axiom enables us to reincarnate a property, in other words, to collect and to comprehend. The union of convexity and abstraction was inevitable. Their child is abstract convexity.

2. GENERATION

Let \overline{E} be a lattice E with the adjoint top $\top := +\infty$ and bottom $\bot := -\infty$. Unless otherwise stated, E is usually a *Kantorovich space* which is a Dedekind complete vector lattice in another terminology. Assume further that H is some subset of E which is by implication a (convex) cone in E, and so the bottom of E lies beyond H. A subset U of H is *convex relative to* H or H-convex, in symbols $U \in \mathfrak{V}(H, \overline{E})$, provided that U is the H-support set $U_p^H := \{h \in H : h \leq p\}$ of some element p of \overline{E} .

Alongside the *H*-convex sets we consider the so-called *H*-convex elements. An element $p \in \overline{E}$ is *H*-convex provided that $p = \sup U_p^H$; i.e., p represents the supremum of the *H*-support set of p. The *H*-convex elements comprise the cone which is denoted by $\mathcal{C}(H, \overline{E})$. We may omit the references to *H* when *H* is clear from the context. It is worth noting that convex elements and sets are "glued together" by the *Minkowski duality* $\varphi : p \mapsto U_p^H$. This duality enables us to study convex elements and sets simultaneously.

Since the classical results by Fenchel [9] and Hörmander [10, 11] we know definitely that the most convenient and conventional classes of convex functions and sets are $C(A(X), \mathbb{R}^X)$ and $\mathfrak{V}(X', \mathbb{R}^X)$. Here X is a locally convex space, X' is the dual of X, and A(X) is the space of affine functions on X (isomorphic with $X' \times \mathbb{R}$).

In the first case the Minkowski duality is the mapping $f \mapsto epi(f^*)$, where

$$f^*(y) := \sup_{x \in X} (\langle y, x \rangle - f(x))$$

is the *Young–Fenchel transform* of f or the *conjugate function* of f. In the second case we prefer to write down the inverse of the Minkowski duality which sends U in $\mathfrak{V}(X', \mathbb{R}^X)$ to the standard *support function*

$$\varphi^{-1}(U): x \mapsto \sup_{y \in U} \langle y, x \rangle.$$

As usual, $\langle \cdot, \cdot \rangle$ stands for the canonical pairing of X' and X.

This idea of abstract convexity lies behind many current objects of analysis and geometry. Among them we list the "economical" sets with boundary points meeting the Pareto criterion, capacities, monotone seminorms, various classes of functions convex in some generalized sense, for instance, the Bauer convexity in Choquet theory, etc. It is curious that there are ordered vector spaces consisting of the convex elements with respect to narrow cones with finite generators. To compute the meet or join of two reals is nor harder than to compute their sum or product. This simple observation is one of the underlying ideas of supremal generation and idempotent analysis. Many diverse aspects of abstract convexity are set forth and elaborated, for instance, in [12]–[17].

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3. SEPARATION

The term "Hahn–Banach" reminds us of geometric separation or algebraic extension. These hypostases of convexity are omnipresent in modern books on functional analysis.

Consider cones K_1 and K_2 in a topological vector space X and put $\varkappa := (K_1, K_2)$. Given a pair \varkappa , define the correspondence Φ_{\varkappa} from X^2 into X by the formula

$$\Phi_{\varkappa} := \{ (k_1, k_2, x) \in X^3 : x = k_1 - k_2 \in K_i \ (i := 1, 2) \}.$$

Clearly, Φ_{\varkappa} is a cone or, in other words, a conic correspondence.

The pair \varkappa is *nonoblate* whenever Φ_{\varkappa} is open at the zero. Since $\Phi_{\varkappa}(V) = V \cap K_1 - V \cap K_2$ for every $V \subset X$, the nonoblateness of \varkappa means that

$$\varkappa V := (V \cap K_1 - V \cap K_2) \cap (V \cap K_2 - V \cap K_1)$$

is a zero neighborhood for every zero neighborhood $V \subset X$. Since $\varkappa V \subset V - V$, the nonoblateness of \varkappa is equivalent to the fact that the system of sets $\{\varkappa V\}$ serves as a filterbase of zero neighborhoods while V ranges over some base of the same filter.

Let $\Delta_n : x \mapsto (x, \dots, x)$ be the embedding of X into the diagonal $\Delta_n(X)$ of X^n . A pair of cones $\varkappa := (K_1, K_2)$ is nonoblate if and only if $\lambda := (K_1 \times K_2, \Delta_2(X))$ is nonoblate in X^2 .

Cones K_1 and K_2 constitute a nonoblate pair if and only if the conic correspondence $\Phi \subset X \times X^2$ defined as

$$\Phi := \{ (h, x_1, x_2) \in X \times X^2 : x_i + h \in K_i \ (i := 1, 2) \}$$

is open at the zero. Recall that a convex correspondence Φ from X into Y is open at the zero if and only if the Hörmander transform of $X \times \Phi$ and the cone $\Delta_2(X) \times \{0\} \times \mathbb{R}^+$ constitute a nonoblate pair in $X^2 \times Y \times \mathbb{R}$.

Cones K_1 and K_2 in a topological vector space X are in general position provided that

- (1) the algebraic span of K_1 and K_2 is some subspace $X_0 \subset X$; i.e., $X_0 = K_1 K_2 = K_2 K_1$;
- (2) the subspace X_0 is complemented; i.e., there exists a continuous projection $P: X \to X$ such that $P(X) = X_0$;
- (3) K_1 and K_2 constitute a nonoblate pair in X_0 .

Let σ_n stand for the rearrangement of coordinates

$$\sigma_n : ((x_1, y_1), \dots, (x_n, y_n)) \mapsto ((x_1, \dots, x_n), (y_1, \dots, y_n))$$

which establishes an isomorphism between $(X \times Y)^n$ and $X^n \times Y^n$.

Sublinear operators $P_1, \ldots, P_n : X \to E \cup \{+\infty\}$ are *in general position* if so are the cones $\Delta_n(X) \times E^n$ and $\sigma_n(\operatorname{epi}(P_1) \times \cdots \times \operatorname{epi}(P_n))$. A similar terminology applies to convex operators.

Given a cone $K \subset X$, put

$$\pi_E(K) := \{ T \in \mathcal{L}(X, E) : Tk \le 0 \ (k \in K) \}.$$

We readily see that $\pi_E(K)$ is a cone in $\mathcal{L}(X, E)$.

Theorem. Let K_1, \ldots, K_n be cones in a topological vector space X and let E be a topological Kantorovich space. If K_1, \ldots, K_n are in general position then

$$\pi_E(K_1 \cap \cdots \cap K_n) = \pi_E(K_1) + \cdots + \pi_E(K_n).$$

This formula opens a way to various separation results.

Sandwich Theorem. Let $P, Q : X \to E \cup \{+\infty\}$ be sublinear operators in general position. If $P(x) + Q(x) \ge 0$ for all $x \in X$ then there exists a continuous linear operator $T : X \to E$ such that

$$-Q(x) \le Tx \le P(x) \qquad (x \in X).$$

Many efforts were made to abstract these results to a more general algebraic setting and, primarily, to semigroups. The relevant separation results are collected in [18].

4. CALCULUS

Consider a Kantorovich space E and an arbitrary nonempty set \mathfrak{A} . Let $l_{\infty}(\mathfrak{A}, E)$ denote the set of all order bounded mappings from \mathfrak{A} into E; i.e., $f \in l_{\infty}(\mathfrak{A}, E)$ if and only if $f : \mathfrak{A} \to E$ and the set $\{f(\alpha) : \alpha \in \mathfrak{A}\}$ is order bounded in E. It is easy to verify that $l_{\infty}(\mathfrak{A}, E)$ becomes a Kantorovich space if endowed with the coordinatewise algebraic operations and order. The operator $\varepsilon_{\mathfrak{A},E}$ acting from $l_{\infty}(\mathfrak{A}, E)$ into E by the rule

$$\varepsilon_{\mathfrak{A},E}: f \mapsto \sup\{f(\alpha): \alpha \in \mathfrak{A}\} \qquad (f \in l_{\infty}(\mathfrak{A}, E))$$

is called the *canonical sublinear operator* given \mathfrak{A} and E. We often write $\varepsilon_{\mathfrak{A}}$ instead of $\varepsilon_{\mathfrak{A},E}$ when it is clear from the context what Kantorovich space is meant. The notation ε_n is used when the cardinality of \mathfrak{A} equals n and we call the operator ε_n finitely-generated.

Let X and E be ordered vector spaces. An operator $p: X \to E$ is called *increasing* or *isotonic* if, for all $x_1, x_2 \in X$, from $x_1 \leq x_2$ it follows that $p(x_1) \leq p(x_2)$. An increasing linear operator is also called *positive*. As usual, the collection of all positive linear operators in the space L(X, E) of all linear operators is denoted by $L^+(X, E)$. Obviously, the positivity of a linear operator T amounts to the inclusion $T(X^+) \subset E^+$, where $X^+ := \{x \in X : x \geq 0\}$ and $E^+ := \{e \in E : e \geq 0\}$ are the *positive cones* in X and E respectively. Observe that every canonical operator is increasing and sublinear, while every finitely-generated canonical operator is order continuous.

Recall that

$$\partial p := \partial p(0) = \{T \in L(X, E) : (\forall x \in X) \ Tx \le p(x)\}$$

is the *subdifferential* at the zero or the *support set* of a sublinear operator *p*.

Consider a set \mathfrak{A} of linear operators acting from a vector space X into a Kantorovich space E. The set \mathfrak{A} is *weakly order bounded* if the set $\{\alpha x : \alpha \in \mathfrak{A}\}$ is order bounded for every $x \in X$. Let $\langle \mathfrak{A} \rangle x$ denote the mapping that assigns the element $\alpha x \in E$ to each $\alpha \in \mathfrak{A}$, i.e. $\langle \mathfrak{A} \rangle x : \alpha \mapsto \alpha x$. If \mathfrak{A} is weakly order bounded then $\langle \mathfrak{A} \rangle x \in l_{\infty}(\mathfrak{A}, E)$ for every fixed $x \in X$. Consequently, we obtain the linear operator $\langle \mathfrak{A} \rangle : X \to l_{\infty}(\mathfrak{A}, E)$ that acts as $\langle \mathfrak{A} \rangle : x \mapsto \langle \mathfrak{A} \rangle x$. Associate with \mathfrak{A} one more operator

$$p_{\mathfrak{A}}: x \mapsto \sup\{\alpha x : \alpha \in \mathfrak{A}\} \qquad (x \in X).$$

The operator $p_{\mathfrak{A}}$ is sublinear. The support set $\partial p_{\mathfrak{A}}$ is denoted by $\operatorname{cop}(\mathfrak{A})$ and referred to as the *support hull* of \mathfrak{A} . These definitions entail the following

Theorem. If p is a sublinear operator with $\partial p = \operatorname{cop}(\mathfrak{A})$ then $P = \varepsilon_{\mathfrak{A}} \circ \langle \mathfrak{A} \rangle$. Assume further that $p_1 : X \to E$ is a sublinear operator and $p_2 : E \to F$ is an increasing sublinear operator. Then

$$\partial(p_2 \circ p_1) = \left\{ T \circ \langle \partial p_1 \rangle : T \in L^+(l_\infty(\partial p_1, E), F) \land T \circ \Delta_{\partial p_1} \in \partial p_2 \right\}.$$

Furthermore, if $\partial p_1 = \operatorname{cop}(\mathfrak{A}_1)$ and $\partial p_2 = \operatorname{cop}(\mathfrak{A}_2)$ then

$$\partial(p_2 \circ p_1) = \left\{ T \circ \langle \mathfrak{A}_1 \rangle : T \in L^+(l_\infty(\mathfrak{A}_1, E), F) \land \left(\exists \alpha \in \partial \varepsilon_{\mathfrak{A}_2} \right) T \circ \Delta_{\mathfrak{A}_1} = \alpha \circ \langle \mathfrak{A}_2 \rangle \right\}.$$

Hahn–Banach in the classical formulation is of course the simplest chain rule for removing any linear embedding from the subdifferential sign. More details and a huge list of references on subdifferential calculus are collected in [19] along with some topical applications to optimality.

5. APPROXIMATION

Convexity of harpedonaptae was stable in the sense that no variation of stakes within the surrounding rope can ever spoil the convexity of the tract to be surveyed.

Study of stability in abstract convexity is accomplished sometimes by introducing various epsilons in appropriate places. One of the earliest excursions in this direction is connected with the classical Hyers–Ulam stability theorem for ε -convex functions. The most recent results are collected in [20]. Exact calculations with epsilons and sharp estimates are sometimes bulky and slightly mysterious. Some alternatives are suggested by actual infinities, which is illustrated with the conception of *infinitesimal optimality*.

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Assume given a convex operator $f: X \to E \cup +\infty$ and a point \overline{x} in the effective domain dom $(f) := \{x \in X : f(x) < +\infty\}$ of f. Given $\varepsilon \ge 0$ in the positive cone E_+ of E, by the ε -subdifferential of f at \overline{x} we mean the set

$$\partial^{\varepsilon} f(\overline{x}) := \big\{ T \in L(X, E) : (\forall x \in X) (Tx - Fx \le T\overline{x} - f\overline{x} + \varepsilon) \big\},\$$

with L(X, E) standing as usual for the space of linear operators from X to E.

Distinguish some downward-filtered subset \mathcal{E} of E that is composed of positive elements. Assuming E and \mathcal{E} standard, define the *monad* $\mu(\mathcal{E})$ of \mathcal{E} as

$$\mu(\mathcal{E}) := \bigcap \{ [0, \varepsilon] : \varepsilon \in {}^{\circ}\mathcal{E} \}.$$

The members of $\mu(\mathcal{E})$ are *positive infinitesimals* with respect to \mathcal{E} . As usual, \mathcal{E} denotes the external set of all standard members of E, the *standard part* of \mathcal{E} .

We will agree that the monad $\mu(\mathcal{E})$ is an external cone over ${}^{\circ}\mathbb{R}$ and, moreover, $\mu(\mathcal{E}) \cap {}^{\circ}\!E = 0$. In application, \mathcal{E} is usually the filter of order-units of E. The relation of *infinite proximity* or *infinite closeness* between the members of E is introduced as follows:

$$e_1 \approx e_2 \leftrightarrow e_1 - e_2 \in \mu(\mathcal{E}) \land e_2 - e_1 \in \mu(\mathcal{E}).$$

Since

$$\bigcap_{\varepsilon \in {}^{\circ}\mathcal{E}} \partial_{\varepsilon} f(\overline{x}) = \bigcup_{\varepsilon \in \mu(\mathcal{E})} \partial_{\varepsilon} f(\overline{x});$$

therefore, the external set on both sides is the so-called *infinitesimal subdifferential* of f at \overline{x} . We denote this set by $Df(\overline{x})$. The elements of $Df(\overline{x})$ are *infinitesimal subgradients* of f at \overline{x} . If the zero operator is an infinitesimal subgradient of f at \overline{x} then \overline{x} is called an *infinitesimal minimum point* of f. We abstain from indicating \mathcal{E} explicitly since this leads to no confusion.

Theorem. Let $f_1: X \times Y \to E \cup +\infty$ and $f_2: Y \times Z \to E \cup +\infty$ be convex operators. Suppose that the convolution $f_2 \bigtriangleup f_1$ is infinitesimally exact at some point (x, y, z); i.e.,

$$(f_2 \vartriangle f_1)(x,y) \approx f_1(x,y) + f_2(y,z).$$

If, moreover, the convex sets $epi(f_1, Z)$ and $epi(X, f_2)$ are in general position then

$$D(f_2 \vartriangle f_1)(x, y) = Df_2(y, z) \circ Df_1(x, y).$$

6. APOLOGY

The essence of mathematics resides in freedom, and abstraction is the freedom of generalization. Freedom is the loftiest ideal and idea of man, but it is demanding, limited, and vexing. So is abstraction. So are its instances in convexity. Abstract convexity starts with repudiating the heritage of harpedonaptae, which is annoying but may turn out rewarding.

Freedom of set theory empowered us with the Boolean valued models yielding various realizations of the continuum with idempotents galore. Many instances of Hahn–Banach in modules and semimodules are just the descents or Boolean interpretations of their classical analogs. The celebrated Hahn–Banach–Kantorovich theorem is simple Hahn–Banach in a Boolean disguise. In fact, we know now that many sets with idempotents are indistinguishable from their standard analogs in the paradigm of distant modeling. Boolean valued analysis [21] has greatly changed the appearance of abstract convexity, while demonstrating that many seemingly new results are just canny interpretations of harpedonaptae or Hahn–Banach.

"Scholastic" differs from "scholar." Abstraction is limited by taste, tradition, and common sense. The challenge of abstraction is alike the call of freedom. But no freedom is exercised in solitude. The holy gift of abstraction coexists with gratitude and respect to the legacy of our predecessors who collected the gems of reason and saved them in the treasure-trove of mathematics.

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