

Stone–Weierstrass Approximation Revisited

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Agenda

- Analysis is the technique of differentiation and integration. Differentiation discovers trends, and integration forecasts the future from trends.
- Calculus reduces forecast to numbers, which is scalarization in modern parlance. Some aspects of the latter will be revealed by extending the classical Stone–Weierstrass Approximation Theorem.

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Nonstandard Tool Kits

- A model within set theory is *nonstandard* if the membership between the objects of the model differs from that of the originals. In fact the nonstandard tools of today use a couple of set-theoretic models simultaneously. The most popular are *infinitesimal analysis* and *Boolean-valued analysis*.
- Infinitesimal analysis provides us with a novel understanding for the method of indivisibles or monadology, synthesizing the two approaches to calculus which belong to the inventors.
- Boolean valued analysis originated with the famous works by Paul Cohen on the continuum hypothesis and distinguishes itself by the technique of ascending and descending, cyclic envelopes and mixings, and *B*-sets.

Enter the Reals

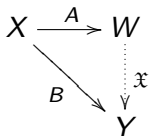
- To operate with preferences, we use group structure. To aggregate and scale, we use linear structure.
- All these are happily provided by the *reals* \mathbb{R} , a one-dimensional Dedekind complete vector lattice. A Dedekind complete vector lattice is a *Kantorovich space*.
- Since each number is a measure of quantity, the idea of reducing to numbers is of a universal importance to mathematics. Model theory provides justification of the *Kantorovich heuristic principle* that the members of his spaces are numbers as well.

Environment for Inequality

- Assume that X is a real vector space, Y is a *Kantorovich space*. Let $\mathbb{B} := \mathbb{B}(Y)$ be the *base* of Y , i.e., the complete Boolean algebras of positive projections in Y ; and let $m(Y)$ be the universal completion of Y . Denote by $L(X, Y)$ the space of linear operators from X to Y . In case X is furnished with some Y -seminorm on X , by $L^{(m)}(X, Y)$ we mean the *space of dominated operators* from X to Y . As usual, $\{T \leq 0\} := \{x \in X \mid Tx \leq 0\}$; $\ker(T) = T^{-1}(0)$ for $T : X \rightarrow Y$. The superscript $^{(m)}$ suggests domination.

Operator Equations

- Find \mathfrak{X} satisfying



- **Sard Theorem.** $(\exists \mathfrak{X}) \mathfrak{X}A = B \leftrightarrow \ker(A) \subset \ker(B)$.
- **Kantorovich Theorem.** *If W is ordered by W_+ and $A(X) - W_+ = W_+ - A(X) = W$, then*

$$(\exists \mathfrak{X} \geq 0) \mathfrak{X}A = B \leftrightarrow \{A \leq 0\} \subset \{B \leq 0\}.$$

The Farkas Alternative

- Let X be a Y -seminormed real vector space, with Y a Kantorovich space. Assume that A_1, \dots, A_N and B belong to $L^{(m)}(X, Y)$. Then one and only one of the following holds:

(1) There are $x \in X$ and $b, b' \in \mathbb{B}$ such that $b' \leq b$ and

$$b'Bx > 0, bA_1x \leq 0, \dots, bA_Nx \leq 0.$$

(2) There are positive orthomorphisms $\alpha_1, \dots, \alpha_N \in \text{Orth}(m(Y))_+$ such that $B = \sum_{k=1}^N \alpha_k A_k$.

Inhomogeneous Inequalities

- **Theorem.** Let X be a Y -seminormed real vector space, with Y a Kantorovich space. Assume given some dominated operators $A_1, \dots, A_N, B \in L^{(m)}(X, Y)$ and elements $u_1, \dots, u_N, v \in Y$. The following are equivalent:
 - (1) For all $b \in \mathbb{B}$ the inhomogeneous operator inequality $bBx \leq bv$ is a consequence of the consistent simultaneous inhomogeneous operator inequalities $bA_1x \leq bu_1, \dots, bA_Nx \leq bu_N$, i.e.,

$$\{bB \leq bv\} \supset \{bA_1 \leq bu_1\} \cap \dots \cap \{bA_N \leq bu_N\}.$$

- (2) There are positive orthomorphisms $\alpha_1, \dots, \alpha_N \in \text{Orth}(m(Y))$ satisfying

$$B = \sum_{k=1}^N \alpha_k A_k; \quad v \geq \sum_{k=1}^N \alpha_k u_k.$$

Boolean Modeling

- Cohen's final solution of the problem of the cardinality of the continuum within ZFC gave rise to the Boolean valued models by Scott, Solovay, and Vopěnka.
- Takeuti coined the term “Boolean valued analysis” for applications of the models to analysis.

Scott's Comments

- Scott forecasted in 1969:

We must ask whether there is any interest in these nonstandard models aside from the independence proof; that is, do they have any mathematical interest? The answer must be yes, but we cannot yet give a really good argument.

- In 2009 Scott wrote:¹

At the time, I was disappointed that no one took up my suggestion. And then I was very surprised much later to see the work of Takeuti and his associates. I think the point is that people have to be trained in Functional Analysis in order to understand these models. I think this is also obvious from your book and its references. Alas, I had no students or collaborators with this kind of background, and so I was not able to generate any progress.

¹Letter of April 29, 2009 to S. S. Kutateladze.

Boolean Valued Universe

- Let \mathbb{B} be a complete Boolean algebra. Given an ordinal α , put

$$V_{\alpha}^{(\mathbb{B})} := \{x \mid (\exists \beta \in \alpha) x : \text{dom}(x) \rightarrow \mathbb{B} \ \& \ \text{dom}(x) \subset V_{\beta}^{(\mathbb{B})}\}.$$

- The *Boolean valued universe* $\mathbb{V}^{(\mathbb{B})}$ is

$$\mathbb{V}^{(\mathbb{B})} := \bigcup_{\alpha \in \text{On}} V_{\alpha}^{(\mathbb{B})},$$

with On the class of all ordinals.

- The truth value $\llbracket \varphi \rrbracket \in \mathbb{B}$ is assigned to each formula φ of ZFC relativized to $\mathbb{V}^{(\mathbb{B})}$.

Descending and Ascending

- Given φ , a formula of ZFC, and y , a member of $\mathbb{V}^{\mathbb{B}}$; put $A_\varphi := A_{\varphi(\cdot, y)} := \{x \mid \varphi(x, y)\}$.
- The *descent* $A_\varphi \downarrow$ of a class A_φ is

$$A_\varphi \downarrow := \{t \mid t \in \mathbb{V}^{(\mathbb{B})} \ \& \ \llbracket \varphi(t, y) \rrbracket = \mathbb{1}\}.$$

- If $t \in A_\varphi \downarrow$, then it is said that t *satisfies* $\varphi(\cdot, y)$ *inside* $\mathbb{V}^{(\mathbb{B})}$.
- The *descent* $x \downarrow$ of $x \in \mathbb{V}^{(\mathbb{B})}$ is defined as

$$x \downarrow := \{t \mid t \in \mathbb{V}^{(\mathbb{B})} \ \& \ \llbracket t \in x \rrbracket = \mathbb{1}\},$$

i.e. $x \downarrow = A_{\in x} \downarrow$. The class $x \downarrow$ is a set.

- If x is a nonempty set inside $\mathbb{V}^{(\mathbb{B})}$ then

$$(\exists z \in x \downarrow) \llbracket (\exists t \in x) \varphi(t) \rrbracket = \llbracket \varphi(z) \rrbracket.$$

- The *ascent* functor acts in the opposite direction.

The Reals Within or Kantorovich's Scalars

- There is an object \mathcal{R} inside $\mathbb{V}^{(\mathbb{B})}$ modeling \mathbb{R} , i.e.,

$$\llbracket \mathcal{R} \text{ is the reals} \rrbracket = \mathbb{1}.$$

- Let $\mathcal{R}\downarrow$ be the descent of the carrier $|\mathcal{R}|$ of the algebraic system $\mathcal{R} := (|\mathcal{R}|, +, \cdot, 0, 1, \leq)$ inside $\mathbb{V}^{(\mathbb{B})}$.
- Implement the descent of the structures on $|\mathcal{R}|$ to $\mathcal{R}\downarrow$ as follows:

$$x + y = z \leftrightarrow \llbracket x + y = z \rrbracket = \mathbb{1};$$

$$xy = z \leftrightarrow \llbracket xy = z \rrbracket = \mathbb{1};$$

$$x \leq y \leftrightarrow \llbracket x \leq y \rrbracket = \mathbb{1};$$

$$\lambda x = y \leftrightarrow \llbracket \lambda \wedge x = y \rrbracket = \mathbb{1} \quad (x, y, z \in \mathcal{R}\downarrow, \lambda \in \mathbb{R}).$$

- **Gordon Theorem.** $\mathcal{R}\downarrow$ with the descended structures is a universally complete vector lattice with base $\mathbb{B}(\mathcal{R}\downarrow)$ isomorphic to \mathbb{B} .

Two-Point Relations

- The kernel $\ker(T)$ of each *two-point relation* $T := T_1 - T_2$ with T_1 and T_2 lattice homomorphisms is evidently a sublattice of X , since it is determined by the equation $\ker(T) = \{x \in X : T_1x = T_2x\}$.
- **The Meyer Theorem.** *Each order bounded disjointness preserving operator between vector lattices is a two-point relation.*
- Thus, each stratum bT of an order bounded disjointness preserving operator $T : X \rightarrow Y$ is a two-point relation on X and so the kernel of bT is a vector sublattice of X . In fact, the converse is valid too.

Theorem. *An order bounded linear operator from a vector lattice to a Dedekind complete vector lattice is a two-point relation if and only if the kernel of its every stratum is a vector sublattice of the ambient vector lattice.*

Grothendieck subspaces

- **Definition.** A subspace H of a vector lattice X is a *Grothendieck subspace* (G -subspace, for short) provided that





$$(\forall x, y \in H) (x \vee y \vee 0 + x \wedge y \wedge 0 \in H).$$

- **Theorem.** *The modulus of an order bounded operator $T : X \rightarrow Y$ is the sum of a pair of lattice homomorphisms if and only if $\ker(bT)$ is a G -subspace of X for every $b \in \mathbb{B}$.*
- $\llbracket \ker(\tau) \text{ is a Grothendieck subspace of } X^\wedge \rrbracket = \mathbb{1}$
 $\iff (\forall b \in \mathbb{B}) (\ker(bT) \text{ is a Grothendieck subspace of } X).$

Stone–Weierstrass Approximation

- **Theorem.** *Let \mathbb{B} be the base of a Dedekind complete vector lattice Y with order convergence. Assume that Q is a \mathbb{B} -cyclically compact set and $X = C(Q, Y)$ is the space of continuous vector-functions from X to Y . Then the following hold:*
- *A sublattice L of X is such that $\text{mix}(L)$ does not coincide with X if and only if there are lattice homomorphisms $S, T : X \rightarrow Y$ satisfying $L \subset \ker(S - T)$.*
- *A Grothendieck subspace L of X is such that $\text{mix}(L)$ does not coincide with X if and only if there are lattice homomorphisms $S, T : X \rightarrow Y$ satisfying $L \subset \ker(S + T)$.*

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