

The Growth Points of
Boolean Valued Analysis:
Cantor's Continuum Problem and
Kantorovich Spaces

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Geometry Days in Novosibirsk—2014
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Three Remarkable Events

- ▶ 1878: G. Cantor (Continuum Hypothesis, CH).
- ▶ 1935: L. V. Kantorovich (Dedekind complete vector lattices).
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- ▶ Contents:
 - ✓ some historical remarks;
 - ✓ the interplay;
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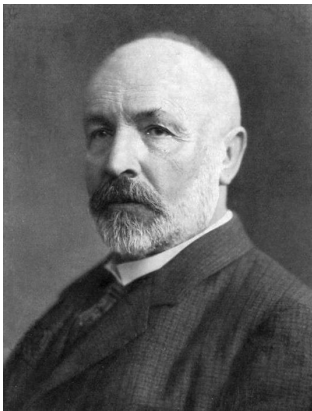
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Georg Ferdinand Ludwig Philipp Cantor



German mathematician, the inventor of set theory
(March 3, 1845, Saint Petersburg, Russian Empire—
January 6, 1918, Halle, German Empire)

G. Cantor: The Problem of Uniqueness (1869–1972)

- ▶ Cantor's first ten papers were on number theory (PhD, 1867).
- ▶ Heirich Eduard Heine (1869) proposed the problem of uniqueness of representation of a function by a trigonometric series.
- ▶ Uniqueness Problem (Heine, Dirichlet, Lipschitz, and Riemann):

$$\frac{a_0}{2} + \sum_{n=1}^{\infty} (a_n \cos nx + b_n \sin nx) = 0 \quad (x \in \mathbb{R} \setminus F)$$
$$\implies a_n = b_n = 0 \quad (n \in \mathbb{N})?$$

- ▶ Definition. If YES then F is called a set of uniqueness.
- ▶ Cantor solved the problem if $F = \emptyset$ (1870) and F is finite (1871).

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Cantor–Lebesgue Theorem (1872–1903)

- ▶ **Definition.** $F^{(0)} := F$, $F^{(1)} := F'$, \dots $F^{(n+1)} := (F^{(n)})'$.

Cantor–Bendixson derivative $F' = \{x \in F : x = \lim_n x_n, (x_n) \subset F\}$.

- ▶ G. Cantor (1872). Let $F \subset \mathbb{R}$ be closed.

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$\omega, \omega + 1, \dots, \omega^2, \dots, \omega^\omega \dots$, since the Cantor–Bendixson process does not terminate in finitely many steps. This led Cantor to the development of the theory of ordinals.

- ▶ **Theorem (Lebesgue, 1903)**

Each countable closed set $F \subset \mathbb{R}$ is a set of uniqueness.

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Cantor's Set Theory: The Beginning (1874)

- ▶ **Remark.** An arbitrary countable set: S. Bernstein (1908), W. H. Young (1909); the union of countable many sets of uniqueness: N. Bari (1923).
- ▶ After 1872 Cantor never returned to the uniqueness problem.
- ▶ His search for the extensions allowing exceptional points led him to the creation of set theory including the concepts of **ordinal** and **cardinal** and the method of **transfinite induction**.
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Cantor's Set Theory: Cardinals

- ▶ $\text{Card}(A)$ = the cardinal number of A .
- ▶ $\text{Card}(A) = \text{Card}(B) \iff A \sim B$
 A and B can be put into a one-to-one correspondence.
- ▶ $\text{Card}(A) < \text{Card}(B) \iff A \sim B_0 \subset B$ but not $B \sim A_0 \subset A$.
- ▶ $\text{Card}(A) \leq \text{Card}(B) \iff$
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What Is the Continuum?

- ▶ The physical continuum (time).
- ▶ The geometrical continuum (straight line).
- ▶ The arithmetical continuum (reals \mathbb{R}).
- ▶ The set-theoretical continuum (powerset $\mathcal{P}(\mathbb{N})$ of naturals \mathbb{N}).
- ▶ $\mathbb{N} := \{0, 1, \dots, n, \dots\}$, $2^{\mathbb{N}} := \{f : \mathbb{N} \rightarrow \{0, 1\}\}$.
- ▶ Theorem. $2^{\mathbb{N}} \sim \mathcal{P}(\mathbb{N}) \sim \mathbb{R}$.

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Cantor's Continuum Hypothesis (1878)

- ▶ **Definitions.** $\text{Card}(\{0, 1, \dots, n-1\}) := n$.
 - ✓ A is **finite** $\iff \text{Card}(A) = \text{Card}(n)$ for some $n \in \mathbb{N}$.
 - ✓ A is **countable** $\iff \text{Card}(A) = \text{Card}(\mathbb{N})$.
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Every $A \subset [0, 1]$ is either **finite**, or **countable**, or **continual**.
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The Least Uncountable Cardinal

- ▶ **Notation.** $\omega_0 := \text{Card}(\mathbb{N})$, $2^{\omega_0} = \text{Card}(\mathcal{P}(\mathbb{N})) = \text{Card}(2^{\mathbb{N}})$.
- ▶ **Theorem (Cantor, 1874).** The continuum is uncountable:

$$\boxed{\omega_0 < 2^{\omega_0}} \quad (\text{Card}(\mathbb{N}) < \text{Card}(\mathbb{R})).$$

- ▶ **Proof:** By the Diagonal Argument.
- ▶ Cardinals are well ordered \implies
 \exists the least uncountable cardinal ω_1 :

$$\text{Card}(\mathbb{N}) = \boxed{\omega_0 < \omega_1 \leq 2^{\omega_0}} = \text{Card}(\mathbb{R}).$$

Cantor's Continuum Problem (1978)

- ▶ **The Continuum Problem:** Is there any cardinal number between $\text{Card}(\mathbb{N})$ and $\text{Card}(\mathcal{P}(\mathbb{N}))$?

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- ▶ **The Continuum Hypothesis, CH** $\equiv \omega_1 = 2^{\omega_0}$, says that there is no such cardinal number:

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Cantor: Naive Set Theory

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- ▶ **Hadamard, Hurwitz:** Zürich, ICM-1897, Applications.
- ▶ **Hilbert:** “No one shall expel us from the Paradise that Cantor has created.”
- ▶ **Hilbert:** 23 Mathematical Problems, Paris, ICM-1900.
- ▶ **Problem 1:** Cantor’s Continuum Hypothesis (CH).

“The investigations of Cantor...suggest a very plausible theorem [namely, CH], which in spite of the most strenuous of efforts, no one has succeeded in proving.”

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- ▶ **Problem 1:** Cantor’s Continuum Hypothesis (CH).

“The investigations of Cantor...suggest a very plausible theorem [namely, CH], which in spite of the most strenuous of efforts, no one has succeeded in proving.”

Cantor: Naive Set Theory

- ▶ **Cantor:** “I see it, but I don’t believe it!” $[0, 1] \sim [0, 1]^n$.
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Zermelo–Fraenkel Axiomatic Set Theory

- ▶ ZF = Zermelo–Fraenkel axiomatic set theory (Zermelo, 1907; Fraenkel and Skolem, 1922: von Neumann, 1925).
AC = Axiom of Choice (Zermelo, 1904).
ZFC = ZF + AC is the common foundation of mathematics.
- ▶ \mathbb{V} is the universe of sets, the **von Neumann universe**.
- ▶ \mathbb{L} is the universe of **constructible sets**.
- ▶ $\mathbb{V}^{(\mathbb{B})}$ is the universe of **Boolean valued sets**.
- ▶ $\mathbb{L} \subset \mathbb{V} \subset \mathbb{V}^{(\mathbb{B})}$ ($\mathbb{V} \Leftrightarrow \mathbb{V}^{\{0,1\}} \subset \mathbb{V}^{(\mathbb{B})}$).
- ▶ **Theorem.** $\mathbb{V} \models \text{ZFC}$.

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Kurt Friedrich Gödel



American mathematician,
Gödel incompleteness theorems, consistency of CH with ZFC
(April 28, 1906, Brünn, Austria-Hungary —
January 14, 1978, Princeton, New Jersey, United States)

K. Gödel: The Relative Consistency of CH (1939)

► **Theorem (Gödel, 1938–1940):**

ZF is consistent \implies ZFC + CH is consistent.

► **Proof:**

(1) $ZF \vdash (\mathbb{L} \models ZFC)$.

(2) $ZF \vdash (\mathbb{L} \models CH)$.

The universe of constructible sets \mathbb{L} forms an “inner” model of ZFC + CH within ZF.

► **Corollary.** CH cannot be disproved from the standard ZFC axioms of set theory.

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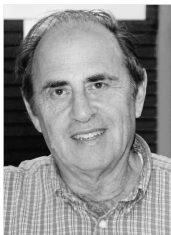
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Paul Joseph Cohen



American mathematician,
Cohen forcing and independence of the Continuum hypothesis
(April 2, 1934, Long Branch, New Jersey —
March 23, 2007, Stanford, California)

P.J.Cohen: The Relative Consistency of \neg CH (1963)

► **Theorem (Cohen, 1963).**

ZF is consistent \implies ZFC + \neg CH is consistent.

► **Proof:**

(1) The universe of Boolean valued sets $\mathbb{V}^{(\mathbb{B})}$ forms an “inner” model of ZFC within ZFC, i.e.,

$$\text{ZFC} \vdash (\mathbb{V}^{(\mathbb{B})} \models \text{ZFC}).$$

(2) There exists a complete Boolean algebra \mathbb{B} with

$$\text{ZFC} \vdash (\mathbb{V}^{(\mathbb{B})} \models 2^{\omega_0} \neq \omega_1).$$

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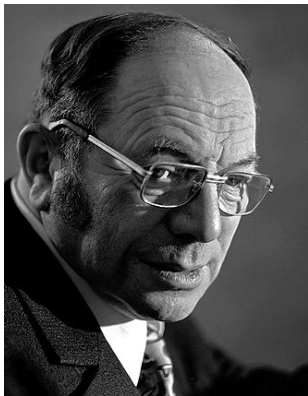
Boolean Valued Models (1967)

- ▶ D. Scott, R. Solovay, and P. Vopěnka (1967).
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Leonid Vitaliyevich Kantorovich



Russian mathematician, Nobel Laureate in Economics,
linear programming, Kantorovich spaces
(January 19, 1912, Saint Petersburg, Russian Empire —
April 7, 1986, Moscow, USSR)

Kantorovich Spaces (1935)

► **Definition.** A *vector lattice* is a real vector space X with some partial order \leq such that there exist

✓ $x \vee y := \sup\{x, y\}$, the *join* of x and y and

✓ $x \wedge y := \inf\{x, y\}$, the *meet* of x and y for all $x, y \in X$,

while the *positive cone*

✓ $X_+ := \{x \in X : x \geq 0\}$ of X has the properties

✓ $X_+ + X_+ \subset X_+$, $\mathbb{R}_+ \cdot X_+ \subset X_+$.

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Kantorovich Spaces: Examples

- ▶ **Examples.** $L^p(\Omega, \Sigma, \mu)$, l^p ($1 \leq p \leq \infty$), c , and c_0 .
- ▶ **Theorem** (Stone, 1937, 1948; Ogasawara, 1944). $C(Q)$ is a Kantorovich space $\iff Q$ is extremally disconnected.
- ▶ **Definition.** Let H be a Hilbert space and $B_{sa}(H)$ the space of all selfadjoint bounded linear operators in H . The order on $B_{sa}(H)$:

$$S \leq T \iff (\forall h \in H) (Sh, h) \leq (Th, h) \quad (S, T \in B_{sa}(H)).$$

- ▶ **Example.** $(B_{sa}(H), \leq)$ is an ordered vector space. Each strongly closed subalgebra $A \subset B_{sa}(H)$ is a Kantorovich space.
- ▶ **Example.** Let \bar{A} is a set of all densely defined selfadjoint linear operators in H whose spectral functions are in A . Then \bar{A} is a Kantorovich space.

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- ▶ A Kantorovich space is also called a **Dedekind complete vector lattice**. The concept (under the name of a “complete semiordered vector space”) appeared in Kantorovich’s first fundamental article on this topic:
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- ▶ “In this note, I define a new type of space that I call a semiordered linear space. The introduction of such a space allows us to study linear operations of one abstract class (those with values in such a space) as linear functionals.”
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- ▶ **D. Scott (1969):** We must ask whether there is any interest in these nonstandard models aside from the independence proof; that is do they have any mathematical interest? The answer must be yes, but we cannot yet give a really good arguments.
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Boolean Valued Analysis: The Beginning (1978)

- ▶ G. Takeuti, *Two Applications of Logic to Mathematics*, Princeton Univ. Press, Princeton, (1978).
 - ✓ The vector lattice of (cosets of) measurable functions can be considered as Boolean valued reals.
 - ✓ The commutative algebra of unbounded selfadjoint operators is another sample of Boolean valued reals.
 - ✓ Coined the term “Boolean valued analysis” (1979).

Gordon's Theorem (1977)

- ▶ The depth and universality of Kantorovich's principle were demonstrated within Boolean valued analysis.

- ▶ Gordon's Theorem (1977).

Let \mathcal{R} be the field of reals in $\mathbb{V}^{(\mathbb{B})}$. The algebraic structure $\mathcal{R} := \mathcal{R} \downarrow \in \mathbb{V}$ (with the descended operations and order) is a (universally complete) Kantorovich space with $\mathbb{B} \simeq \mathbb{P}(\mathcal{R})$.

- ▶ The converse is also true: Each Kantorovich space X is isomorphic to an order ideal in $\mathcal{R} \downarrow$ with $\mathcal{R} \in \mathbb{V}^{(\mathbb{B})}$ and $\mathbb{B} \simeq \mathbb{P}(X)$.

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Interaction of Universes

Von Neumann Universe

Boolean Valued Universe

What Is Boolean Valued Analysis?

- ▶ **Boolean valued analysis** is a branch of functional analysis which uses a special model-theoretic technique and consists in studying the properties of a mathematical object by means of comparison between its representations in two different set-theoretic models whose construction utilizes distinct Boolean algebras.
- ▶ The **von Neumann universe** (Cantorian paradise) \mathbb{V} and a specially-trimmed **Boolean valued universe** $\mathbb{V}^{(\mathbb{B})}$ are taken as these models.
- ▶ The comparative analysis requires some **ascending-descending machinery** to carry out the interplay between \mathbb{V} and $\mathbb{V}^{(\mathbb{B})}$.

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A Boolean Valued Telescope



What Is the Boolean Valued Transfer Principle?

- ▶ Let $X \subset \mathbb{V}$ and $\mathbb{X} \subset \mathbb{V}^{(\mathbb{B})}$ be two classes of mathematical objects.

Suppose we are able to prove the result:

- ▶ **Boolean Valued Representation.** *Every $X \in \mathbb{X}$ embeds into an Boolean valued model, becoming an object $\mathcal{X} \in \mathbb{X}$ within $\mathbb{V}^{(\mathbb{B})}$.*
- ▶ **Boolean Valued Transfer Principle.** *Every theorem about \mathcal{X} within ZFC has its counterpart for the original object X interpreted as a Boolean valued object \mathcal{X} .*
- ▶ **Boolean Valued Machinery.** *Translation of theorems from $\mathcal{X} \in \mathbb{V}^{(\mathbb{B})}$ to $X \in \mathbb{V}$ is carried out by the appropriate general operations (ascending–descending) and the principles of Boolean valued analysis.*
- ▶ **A. G. Kusraev and S. S. Kutateladze, *Introduction to Boolean Valued Analysis*, Moscow, Nauka (2005).**
Boolean Valued Analysis, Dordrecht, Kluwer (1999).

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- ▶ **A. G. Kusraev and S. S. Kutateladze, *Introduction to Boolean Valued Analysis*, Moscow, Nauka (2005).**
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Some Long Standing Problems

THE PROBLEM	RAISED BY	REDUCED TO (BY MEANS OF BA):	SOLVED BY
Intrinsic characterization of subdifferentials	Kutateladze 1976	Weakly compact convex sets of functionals	Kusraev Kutateladze 1982
General desintegration in Kantorovich spaces	Ioffe, Levin Neumann 1972/1977	Hahn–Banach and Radon–Nikodým theorems	Kusraev 1984
Kaplansky Problem: Homogeneity of a type I AW^* -algebra	Kaplansky 1953	Homogeneity of $B(H)$ with H Hilbert space	Ozawa 1984

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Order boundedness of BP operators	Wickstead 1983	Cauchy type functional equations	Gutman Kusraev 1995, 2006
Maharam extension of a positive operator	Luxemburg Schep 1978	Daniel extension of an elementary integral	Akilov Kolesnikov Kusraev 1988
Classification of injective Banach lattices	Lotz Cartright 1975	Classification of AL -space (L_1 spaces)	Kusraev 2012

THANK YOU FOR ATTENTION.