

# Relative $t$ -designs on one shell of Johnson association schemes

Yan Zhu (朱 艳)

Shanghai University

Joint with Eiichi Bannai (坂内 英一)

August 7, 2018

G2R2 2018 @ Novosibirsk

# Outline

- 1 Relative  $t$ -designs in  $Q$ -polynomial association scheme
- 2 Designs in product association scheme
- 3 Main theorem
- 4 Tight relative  $t$ -designs on one shell

## Association scheme

Let  $X$  be a finite set with  $|X| = n$  and  $\mathcal{R} = \{R_0, R_1, \dots, R_d\} \subseteq X \times X$ .  
The adjacency matrix of  $(X, R_i)$  is given by

$$(A_i)_{xy} = \begin{cases} 1, & \text{if } (x, y) \in R_i \\ 0, & \text{otherwise.} \end{cases}$$

$\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  is called a **symmetric association scheme** of class  $d$  if

- $A_0 = I$ .
- $\sum_{i=0}^d A_i = J$ .
- ${}^t A_i = A_i$  for  $1 \leq i \leq d$ .
- $A_i A_j = \sum_{k=0}^d p_{i,j}^k A_k$ .

## Q-polynomial association scheme

$\mathcal{A} = \text{span}\{A_0, A_1, \dots, A_d\}$  is called **Bose-Mesner algebra** of  $\mathfrak{X}$ .

Since  $\mathfrak{X}$  is symmetric,  $\mathcal{A}$  has another basis called primitive idempotents  $\{E_0, E_1, \dots, E_d\}$  with respect to entry-wise multiplication.

- $E_0 = \frac{1}{|\mathfrak{X}|} J.$
- $\sum_{i=0}^d E_i = I.$
- $E_i E_j = \delta_{i,j} E_i.$
- $E_i \circ E_j = \sum_{k=0}^d q_{i,j}^k E_k.$

## Q-polynomial association scheme

$\mathcal{A} = \text{span}\{A_0, A_1, \dots, A_d\}$  is called **Bose-Mesner algebra** of  $\mathfrak{X}$ .

Since  $\mathfrak{X}$  is symmetric,  $\mathcal{A}$  has another basis called primitive idempotents  $\{E_0, E_1, \dots, E_d\}$  with respect to entry-wise multiplication.

- $E_0 = \frac{1}{|\mathfrak{X}|} J$ .
- $\sum_{i=0}^d E_i = I$ .
- $E_i E_j = \delta_{i,j} E_i$ .
- $E_i \circ E_j = \sum_{k=0}^d q_{i,j}^k E_k$ .

A symmetric association scheme  $\mathfrak{X}$  is called **Q-polynomial** with respect to the ordering  $E_0, E_1, \dots, E_d$ , if there exists a polynomial  $u_j(x)$  of degree  $j$  such that  $E_j = u_j(E_1^\circ)$ , where  $E_1^\circ$  means entry-wise multiplication.

## Johnson association scheme

Given positive integers  $v, k$  with  $v \geq 2k$ , let  $V = \{1, 2, \dots, v\}$  and  $X = \binom{V}{k}$ .

Define

$$R_r = \{(x, y) \in X \times X : |x \cap y| = k - r\}.$$

Then  $J(v, k) = (X, \{R_r\}_{r=0}^k)$  is Johnson association scheme.

- It is known that  $J(v, k)$  is a Q-polynomial association scheme.

## Johnson association scheme

Given positive integers  $v, k$  with  $v \geq 2k$ , let  $V = \{1, 2, \dots, v\}$  and  $X = \binom{V}{k}$ .

Define

$$R_r = \{(x, y) \in X \times X : |x \cap y| = k - r\}.$$

Then  $J(v, k) = (X, \{R_r\}_{r=0}^k)$  is Johnson association scheme.

- It is known that  $J(v, k)$  is a Q-polynomial association scheme.

For a fixed point  $u_0 = \{1, 2, \dots, k\}$ , the  $r$ -th shell of  $J(v, k)$  is defined by

$$X_r := \{x : |x \cap u_0| = k - r\}.$$

## Relative $t$ -designs in $Q$ -polynomial A.S.

Let  $u_0 \in X$  be a fixed point and  $(Y, w)$  be a weighted subset of  $X$ . Define

$$\chi_{(Y,w)}(y) = \begin{cases} w(y) & \text{if } y \in Y, \\ 0 & \text{if } y \notin Y. \end{cases}$$

### Definition (Delsarte, 1977)

Let  $\mathfrak{X}$  be a  $Q$ -polynomial association scheme. A weighted subset  $(Y, w)$  of  $X$  is called a **relative  $t$ -design** in  $\mathfrak{X}$  with respect to  $u_0$  if  $E_j \chi_{(Y,w)}$  and  $E_j \chi_{\{u_0\}}$  are linearly dependent for all  $1 \leq j \leq t$ .

### Definition (Delsarte, 1973)

Let  $\mathfrak{X}$  be a  $Q$ -polynomial association scheme. A weighted subset  $(Y, w)$  is called a  **$t$ -design** in  $\mathfrak{X}$  if  $E_j \chi_{(Y,w)} = 0$  for all  $1 \leq j \leq t$ .



## Block designs and the generalization

A  $t$ - $(v, k, \lambda)$  design  $(V, \mathcal{B})$ , or  $t$ -design in  $J(v, k)$  consists of sets of

- points:  $V$  with  $|V| = v$ ,
- blocks: non-empty subset  $B$  of  $X = \binom{V}{k}$ ,

so that for every  $T \in \binom{V}{t}$ ,

$$\#\{B \in \mathcal{B} : T \subseteq B\} = \lambda > 0.$$

## Block designs and the generalization

A  $t$ -( $v, k, \lambda$ ) design  $(V, \mathcal{B})$ , or  $t$ -design in  $J(v, k)$  consists of sets of

- points:  $V$  with  $|V| = v$ ,
- blocks: non-empty subset  $B$  of  $X = \binom{V}{k}$ ,

so that for every  $T \in \binom{V}{t}$ ,

$$\#\{B \in \mathcal{B} : T \subseteq B\} = \lambda > 0.$$

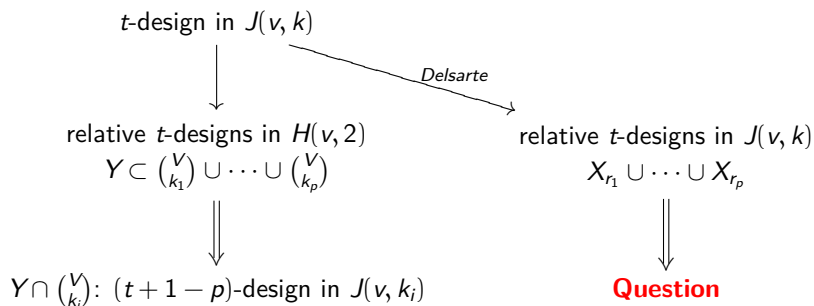
- 
- $w: \mathcal{B} \rightarrow \mathbb{R}_{>0}$ .
  - $X = \binom{V}{k_1} \cup \binom{V}{k_2} \cdots \cup \binom{V}{k_p}$ .

$(V, \mathcal{B}, w)$  is called a **weighted regular  $t$ -wise balanced design** if

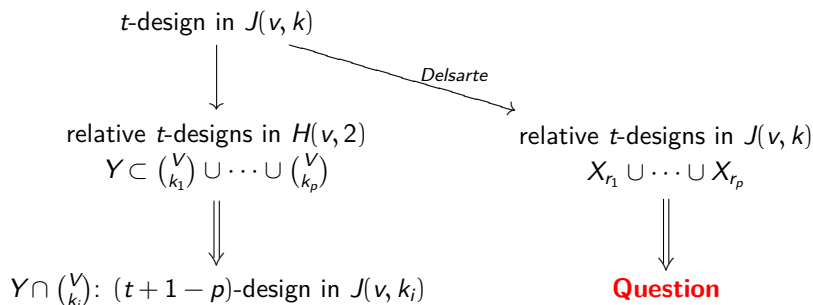
$$\sum_{B \in \mathcal{B}, T \subseteq B} w(B) = \lambda > 0.$$

$\iff$  A **relative  $t$ -design** in  $H(v, 2)$  with with respect to  $(0, 0, \dots, 0)$ .

# Our problem



# Our problem



**Question:** Is  $Y \cap X_{r_i}$  'some' design in  $X_{r_i}$ ?

- Structure of one shell  $X_r$  of  $J(v, k)$ .
- How to define designs in  $X_r$ ?

## Product association scheme

Let  $(Y_\ell, \mathcal{A}_\ell)$  be an association scheme of class  $k_\ell$  with Bose-Mesner Algebra  $\mathcal{A}_\ell$ . The direct product of  $m$  number of association schemes is

$$(X, \mathcal{A}) = (Y_1, \mathcal{A}_1) \otimes (Y_2, \mathcal{A}_2) \otimes \cdots \otimes (Y_m, \mathcal{A}_m),$$

where

$$X = Y_1 \times Y_2 \times \cdots \times Y_m$$
$$\mathcal{A} = \{\otimes_{\ell=1}^m B_\ell \mid B_\ell \in \mathcal{A}_\ell, 1 \leq \ell \leq m\}.$$

## Structure of one shell of $J(v, k)$

Recall that  $u_0 = \{1, 2, \dots, k\}$  and  $X_r = \{x \in \binom{V}{k} : |x \cap u_0| = k - r\}$ .

**1** If  $2 \leq r \leq \frac{k}{2}$ , take  $X_r := \{(u_0 - x, x - u_0) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, r) \otimes J(v - k, r).$$

## Structure of one shell of $J(v, k)$

Recall that  $u_0 = \{1, 2, \dots, k\}$  and  $X_r = \{x \in \binom{V}{k} : |x \cap u_0| = k - r\}$ .

1 If  $2 \leq r \leq \frac{k}{2}$ , take  $X_r := \{(u_0 - x, x - u_0) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, r) \otimes J(v - k, r).$$

2 If  $\frac{k}{2} < r \leq \frac{v-k}{2}$ , take  $X_r := \{(x \cap u_0, x - u_0) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, k - r) \otimes J(v - k, r).$$

3 If  $\frac{v-k}{2} < r \leq k - 2$ , take  $X_r := \{(x \cap u_0, (V - u_0) - x) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, k - r) \otimes J(v - k, v - k - r).$$

## Structure of one shell of $J(v, k)$

Recall that  $u_0 = \{1, 2, \dots, k\}$  and  $X_r = \{x \in \binom{V}{k} : |x \cap u_0| = k - r\}$ .

1 If  $2 \leq r \leq \frac{k}{2}$ , take  $X_r := \{(u_0 - x, x - u_0) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, r) \otimes J(v - k, r).$$

2 If  $\frac{k}{2} < r \leq \frac{v-k}{2}$ , take  $X_r := \{(x \cap u_0, x - u_0) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, k - r) \otimes J(v - k, r).$$

3 If  $\frac{v-k}{2} < r \leq k - 2$ , take  $X_r := \{(x \cap u_0, (V - u_0) - x) \mid x \in X_r\}$ , i.e.,

$$X_r = J(k, k - r) \otimes J(v - k, v - k - r).$$

**Remark:** The product association scheme  $J(v_1, k_1) \otimes J(v_2, k_2)$  is a commutative, but not Q-polynomial association scheme.



## Designs in product association schemes

Designs in in general product association schemes are defined using the primitive idempotent for each component.

### Definition (Martin, 1998)

Let  $|V_i| = v_i$  for  $i = 1, 2$  and  $X = \binom{V_1}{k_1} \times \binom{V_2}{k_2}$ . A weighted subset  $(Y, w)$  of  $X$  is called a weighted  $t$ -design in  $J(v_1, k_1) \otimes J(v_2, k_2)$  if for  $j_1 + j_2 = t$

$$\sum_{\substack{(y_1, y_2) \in Y \\ z_1 \subseteq y_1, z_2 \subseteq y_2}} w(y_1, y_2) = \lambda_{(j_1, j_2)}$$

is independent on the choice of  $(z_1, z_2) \in \binom{V_1}{j_1} \times \binom{V_2}{j_2}$ .

In particular, it is called a **mixed  $t$ -design** if  $w = 1$ .

## Main result

- $(Y, w)$  is supported by  $p$  shells if  $\{r_1, \dots, r_p\} = \{r \mid Y \cap X_r \neq \emptyset\}$ .
- Recall that the  $r$ -th shell of  $J(v, k)$  is  $X_r = J(k, k_1) \otimes J(v - k, k_2)$

### Theorem (Bannai-Z., 2018)

If  $(Y, w)$  is a *relative  $t$ -design in  $J(v, k)$*  on  $p$  shells  $X_{r_1} \cup \dots \cup X_{r_p}$ , then  $(Y \cap X_{r_i}, w)$  is a *weighted  $(t + 1 - p)$ -design in  $X_{r_i}$*  as a product association scheme for  $1 \leq i \leq p$ .

## Lower bound for relative $t$ -designs on one shell

Theorem (Bannai-Bannai-Suda-Tanaka, 2015; Martin, 1998)

Let  $Y$  be a  $t$ -design in one shell  $X_r$  of  $J(v, k)$ . Then

$$|Y| \geq \begin{cases} \binom{v}{e} - \binom{v}{e-1} & \text{if } t = 2e, \\ 2\left(\binom{v-1}{e} - \binom{v-1}{e-1}\right) & \text{if } t = 2e + 1. \end{cases}$$

The design  $(Y, w)$  is called **tight** if the above lower bound is attained.

## Tight 2-designs in $X_r$

All known tight mixed 2-designs in  $X_r$  come from symmetric 2-designs with one block removed. More precisely,  $(V, \mathcal{B})$  is a symmetric 2- $(v, k, \lambda)$  design. Let  $V_1$  be the points set of a block  $B \in \mathcal{B}$  and  $V_2 = V \setminus V_1$ , then  $(V_1 \times V_2, \mathcal{B} \setminus B)$  forms a mixed 2-design with  $\lambda_{(2,0)} + 1 = \lambda_{(1,1)} = \lambda_{(0,2)} = \lambda$ .

$$\begin{array}{c} \text{blocks} \end{array} \left( \begin{array}{ccc|cccc} & \text{points} & & & & & & \\ & \mathbf{1} & \mathbf{1} & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \hline & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ & 0 & 1 & 0 & 1 & 0 & 0 & 1 \\ & 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ & 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ & 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ & V_1 & & & V_2 & & & \end{array} \right)$$

2- $(7,3,1)$  design gives a 2-design in  $J(3,1) \otimes J(4,2)$ .

## Tight 3-designs in $X_r$

Possible parameters of tight 3-designs in  $X_r$  for  $v \leq 1,000$  are of type:

$$v = 4u, k = 2u, k_1 = k_2 = r, |Y| = 4(2u - 1), \text{ for } 2 \leq r \leq u.$$

### Construction:

- 1  $H$ : symmetric Hadamard  $2-(4u - 1, 2u - 1, u - 1)$  design

$$H = \begin{array}{|c|c|} \hline {}^t\mathbf{1}_{2u-1} & {}^t\mathbf{0}_{2u} \\ \hline H_{Ind} & H_{Res} \\ \hline \end{array}$$

$H_{Ind}$ :  $2-(2u - 1, u - 1, u - 2)$  design

$H_{Res}$ :  $2-(2u, u, u - 1)$  design.

- 2 Tight 3-designs in  $J(2u, u) \otimes J(2u, u)$

$$\begin{array}{|c|c|c|} \hline \mathbf{1}_{4u-2} & H_{Ind} & H_{Res} \\ \hline \mathbf{0}_{4u-2} & H_{Ind}^c & H_{Res}^c \\ \hline \end{array}$$

## Further work

- If  $(Y, w)$  is a relative  $t$ -design in a **Q-polynomial** association scheme on  $p$  shells  $X_{r_1} \cup \dots \cup X_{r_p}$  with respect to a fixed point, then for each  $i$  whether  $Y \cap X_{r_i}$  is **some** design in  $X_{r_i}$  ?

## Further work

- If  $(Y, w)$  is a relative  $t$ -design in a **Q-polynomial** association scheme on  $p$  shells  $X_{r_1} \cup \dots \cup X_{r_p}$  with respect to a fixed point, then for each  $i$  whether  $Y \cap X_{r_i}$  is **some** design in  $X_{r_i}$  ?
- The same question for **P-polynomial** association scheme.

## Further work

- If  $(Y, w)$  is a relative  $t$ -design in a **Q-polynomial** association scheme on  $p$  shells  $X_{r_1} \cup \dots \cup X_{r_p}$  with respect to a fixed point, then for each  $i$  whether  $Y \cap X_{r_i}$  is **some** design in  $X_{r_i}$  ?
- The same question for **P-polynomial** association scheme.

Both of the problems are solved for  $H(v, 2)$  and  $J(v, k)$ .



Thank you for your attention.