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# A generalization of a theorem of Hoffman

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### Background and basic definitions

- Eigenvalues of graph
- Generalized line graphs
- Two theorems on generalized line graphs

### 2 Main results, 1

- A first version
- Some applications
- 3 Concept of Hoffman graphs
  - Hoffman Graphs
  - Ostrowski-Hoffman limit theorem
- 4 Structure theorem of Hoffman graphs
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  - A family of Hoffman graphs  $\mathfrak{G}(t)$
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- Let A(G) be the adjacency matrix of G. The eigenvalues of G are the eigenvalues of A(G).
- Let  $\lambda_0, \lambda_1, \ldots, \lambda_t$  be the distinct eigenvalues of G and  $m_i$  be the multiplicity of  $\lambda_i$   $(i = 0, 1, \ldots, t)$ . Then the multiset

$$\{\lambda_0^{m_0},\lambda_1^{m_1},\ldots,\lambda_t^{m_t}\}$$

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• Two graphs are called cospectral if they have the same spectrum.

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- If such B exists, every entry of B is 1, -1, or 0 and every column of B has exactly two nonzero entries.
- Note that  $A(L(G)) + 2I = B(G)^T B(G)$ , where L(G) is the line graph of G and B(G) is the vertex-edge-incidence matrix of G. This shows that every line graph is a generalized line graph.

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- Since  $B^T B$  is positive semidefinite, every generalized line graph has smallest eigenvalue at least -2.

Now, we will introduce two theorems about generalized line graphs.

# A result of Cameron, Goethals, Seidel and Shult

#### Theorem (Cameron, Goethals, Seidel and Shult, 1976)

Let G be a connected graph with smallest eigenvalue at least -2. Then either G is a generalized line graph, or G has at most 36 vertices. 
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The proof heavily relies on the classification of the irreducible root lattices.

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# A result of Hoffman

Now we give a result of Hoffman.

#### Theorem (Hoffman, 1977)

Let  $-1 - \sqrt{2} < \lambda \leq -2$  be a real number. Then there exists an integer  $f(\lambda)$  such that if G is a graph with smallest eigenvalue at least  $\lambda$  and minimun valency at least  $f(\lambda)$ , then G is a generalized line graph.

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- The proof does not rely on the classification of irreducible root lattices. But you have to pay a price for it. Namely you need to assume that the minimum valency is large.
- In this talk, we will give some generalizations the theorem of Hoffman.

#### Local valency

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#### Main theorem

Let  $t\geq 2$  be a positive integer. Then there exists a positive integer  $\kappa(t)$  such that if a graph G satisfies the following conditions:

$$\ \, \bullet \ \, k(x) > \kappa(t) \ \, \text{for all} \ \, x \in V(G);$$

2 
$$\bar{a}(x) \leq \frac{k(x) - \kappa(t)}{t}$$
 for all  $x \in V(G)$ ;

$$\lambda_{\min}(G) \ge -t - 1,$$

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 for all  $x \in V(G)$ ;

$$\lambda_{\min}(G) \ge -t - 1,$$

then the adjacency matrix  $\boldsymbol{A}$  of  $\boldsymbol{G}$  satisfies

$$A + (t+1)I = N^T N$$

where N is a (0,1)-matrix.

### A geometric interpretation

• Let G be a graph with smallest eigenvalue at least -t - 1. The meaning of this result is that if G satisfies some local condition, then G is the point graph of a partial linear space  $(V(G), \mathcal{L})$  where each vertex lies in exactly t + 1 lines.

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• Can you check the local condition from the spectrum?

- Let G be a graph with smallest eigenvalue at least -t 1. The meaning of this result is that if G satisfies some local condition, then G is the point graph of a partial linear space  $(V(G), \mathcal{L})$  where each vertex lies in exactly t + 1 lines.
- Can you check the local condition from the spectrum?
- Sometimes, namely for example, if you have a regular graph with exactly four distinct eigenvalues.

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- Now, we will give some examples.

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- In this case, k(x) and  $\bar{a}(x)$  do not depend on the vertex x, as the number of triangles through x does not depend on x.
- And the number of triangles in a graph can be calculated using the spectrum.
- Now, we will give some examples.
- Later in the talk, I will give a more general result.

#### Application 1

There exists a positive integer q' such that any graph, that is cospectral with the Hamming graph H(3,q), and  $q \ge q'$ , its adjacency matrix A satisfies

$$A + 3I = N^T N,$$

where N is a (0, 1)-matrix.

#### Application 1

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#### Application 2

There exists a positive integer v' such that any graph, that is cospectral with the Johnson graph J(v,3), and  $v\geq v'$  its adjacency matrix A satisfies

$$A + 3I = N^T N,$$

where N is a (0, 1)-matrix.

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#### Remarks

• For Application 1, it can be shown that it is locally the disjoint union of  $3K_{q-1}$ 's. This was already shown by Bang et al.

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• Moreover, they showed that for  $q \ge 36$  the Hamming graph H(3,q) is determined by its spectrum.

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#### Remarks

- For Application 1, it can be shown that it is locally the disjoint union of  $3K_{q-1}$ 's. This was already shown by Bang et al.
- Moreover, they showed that for  $q \ge 36$  the Hamming graph H(3,q) is determined by its spectrum.
- Van Dam et al. gave two constructions to construct cospectral graphs with J(v,3). Application 2 tells us that they must come from partial linear spaces.

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# Hoffman graphs

# We will introduce Hoffman graphs. They are very important for our proof.

# Hoffman graphs, 2

#### Definitions

- A Hoffman graph  $\mathfrak{h}$  is a pair  $(H, \mu)$  of a graph H = (V, E)and a labeling map  $\mu : V \to \{f, s\}$ , satisfying the following conditions:
  - $(i)\;$  every vertex with label f is adjacent to at least one vertex with label  $s;\;$
  - (ii) vertices with label f are pairwise non-adjacent.

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If every slim vertex has a fat neighbor, we call h fat;
 If every slim vertex has at least t fat neighbors, we call h t-fat.

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(ii) vertices with label f are pairwise non-adjacent.

- A vertex with label s called a slim vertex;
   A vertex with label f called a fat vertex;
   V<sub>s</sub> = V<sub>s</sub>(h) the set of slim vertices of h;
   V<sub>f</sub> = V<sub>f</sub>(h) the set of fat vertices of h.
- If every slim vertex has a fat neighbor, we call h fat;
   If every slim vertex has at least t fat neighbors, we call h t-fat.
- The slim graph of a Hoffman graph  $\mathfrak{h}$  is the subgraph of H induced on  $V_s(\mathfrak{h})$ .

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#### Definitions

• For a Hoffman graph  $\mathfrak{h}$ , let A be the adjacency matrix of H

$$A = \left(\begin{array}{cc} A_s & C\\ C^T & O \end{array}\right)$$

in a labeling in which the fat vertices come last.

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in a labeling in which the fat vertices come last. The special matrix  $S(\mathfrak{h})$  of  $\mathfrak{h}$  is the matrix  $S(\mathfrak{h}) := A_s - CC^T$ .

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• The eigenvalues of  $\mathfrak{h}$  are the eigenvalues of  $\mathcal{S}(\mathfrak{h})$ .

Note that each row and column of a special matrix is indexed by slim vertices. For  $x, y \in V_s(\mathfrak{h})$ ,  $(CC^T)_{xy}$  is the number of common fat neighbors of x and y.

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### Smallest eigenvalue

Denote by  $\lambda_{\min}(\mathfrak{h})$  (resp.  $\lambda_{\min}(G)$ ) the smallest eigenvalue of a given Hoffman graph  $\mathfrak{h}$  (resp. a given graph G), then we have the following lemma.

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#### Lemma

• If  $\mathfrak{h}'$  is an induced Hoffman subgraph of a Hoffman graph  $\mathfrak{h}$ , then  $\lambda_{\min}(\mathfrak{h}') \geq \lambda_{\min}(\mathfrak{h})$  holds.

### Ostrowski-Hoffman limit theorem

One reason why to define the smallest of a Hoffman as we did is the following:

# Ostrowski-Hoffman limit theorem

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#### Ostrowski-Hoffman Theorem

Let  $\mathfrak{h}$  be a Hoffman graph. Let  $G(\mathfrak{h}, n)$  be the ordinary graph obtained from  $\mathfrak{h}$  by replacing each fat vertex f by a slim n-clique  $K_n(f)$ , and joining all the neighbors of f with all the vertices of  $K_n(f)$ . Then

$$\lambda_{\min}(G(\mathfrak{h}, n)) \ge \lambda_{\min}(\mathfrak{h}).$$

and

$$\lim_{n \to \infty} \lambda_{\min}(G(\mathfrak{h}, n)) = \lambda_{\min}(\mathfrak{h}).$$

### Structure theorem of Hoffman graphs

In this section we will give some structure theorem of Haffman graphs.

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# Direct Sum

Now we define the direct sum of Hoffman graphs.

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# Direct Sum

Now we define the direct sum of Hoffman graphs.

#### Definition

Let  $\mathfrak{h}$  be a Hoffman graph and  $\mathfrak{h}^1$  and  $\mathfrak{h}^2$  be two induced Hoffman subgraphs of  $\mathfrak{h}$ . The Hoffman graph  $\mathfrak{h}$  is called the direct sum of  $\mathfrak{h}^1$  and  $\mathfrak{h}^2$ , denoted by  $\mathfrak{h} = \mathfrak{h}^1 \bigoplus \mathfrak{h}^2$ , if and only if  $\mathfrak{h}^1, \mathfrak{h}^2$  and  $\mathfrak{h}$  satisfy the following conditions:

(i) 
$$V(\mathfrak{h}) = V(\mathfrak{h}^1) \bigcup V(\mathfrak{h}^2);$$

(*ii*)  $\{V_s(\mathfrak{h}^1), V_s(\mathfrak{h}^2)\}$  is a partition of  $V_s(\mathfrak{h})$ ;

(iii) if  $x\in V_s(\mathfrak{h}^i)$ ,  $f\in V_f(\mathfrak{h})$  and  $x\sim f$ , then  $f\in V_f(\mathfrak{h}^i)$ ;

(iv) if  $x \in V_s(\mathfrak{h}^1)$  and  $y \in V_s(\mathfrak{h}^2)$ , then x and y have at most one common fat neighbor, and they have exactly one common fat neighbor if and only if they are adjacent.

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The main reason for this definition is that the special matrix of  $\mathfrak{h}, S(\mathfrak{h})$ , is a block matrix with blocks  $S(\mathfrak{h}^1)$  and  $S(\mathfrak{h}^2)$ . That is,

$$S(\mathfrak{h}) = \begin{pmatrix} S(\mathfrak{h}^1) & 0\\ 0 & S(\mathfrak{h}^2) \end{pmatrix}$$

Blackboard Example

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If  $\mathfrak{h} = \mathfrak{h}_1 \bigoplus \mathfrak{h}_2$  for some induced Hoffman subgraphs  $\mathfrak{h}_1$  and  $\mathfrak{h}_2$ , then we call  $\mathfrak{h}$  decomposable. Otherwise  $\mathfrak{h}$  is called indecomposable.

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#### Definition

Let  $\mathfrak{G}$  be a family of Hoffman graphs. A Hoffman graph  $\mathfrak{g}$  is called a  $\mathfrak{G}$ -line Hoffman graph if it is an induced Hoffman subgraph of  $\mathfrak{h} = \bigoplus_{i=1}^t \mathfrak{h}_i$  where  $\mathfrak{h}_i$  is isomorphic to an induced Hoffman subgraph of some Hoffman graph in  $\mathfrak{G}$  for  $i = 1, \ldots, t$  such that  $\mathfrak{g}$ and  $\mathfrak{h}$  have the same slim graph. Background and basic definitions Main results, 1 Concept of Hoffman graphs Structure theorem of Hoffman graphs 0000 Nain results, 00000 Nain results, 0000 Nain results,

# A family of Hoffman graphs

Now we use the above definitons to define a family of Hoffman graphs.

#### Definition

Let t be a positive integer. We define  $\mathfrak{G}(t)$  to be the family of pairwise non-isomorphic indecomposable t-fat Hoffman graphs with special matrix either (-t-1) or  $\begin{pmatrix} J_{s_1} - (t+1)I_{s_1} & -J \\ -J & J_{s_2} - (t+1)I_{s_2} \end{pmatrix}$  where  $1 \leq s_1, s_2 \leq t$ .

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Note that every Hoffman graph in  $\mathfrak{G}(t)$  has smallest eigenvalue -t - 1.

# An important result

# Let $\mathfrak{h}^{(t)}$ be the Hoffman graph with unique slim vertex adjacent to t fat vertices.

#### Theorem

Let t be a positive integer. Every t-fat Hoffman graph with smallest eigenvalue at least -t - 1 is a  $\mathfrak{G}(t)$ -line Hoffman graph.

# Some more definitions

To describe our main results using Hoffman graphs, we need two more definitions.

#### Definitions

• A *p*-plex is a maximal subgraph in which each vertex is adjacent to all but at most *p* of its members.

# Some more definitions

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#### Definitions

- A *p*-plex is a maximal subgraph in which each vertex is adjacent to all but at most *p* of its members.
- For each vertex x in G, the local graph of G at x is the subgraph of G induced by the neighbors of x and is denoted by Δ(x).
- The local valency at x is the quantity  $\frac{|2E(\Delta(x))|}{k(x)}$  where k(x) is the valency of x, and is denoted by  $\overline{a}(x)$ .

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#### Main theorem (Local valency version)

Let  $t \ge 2$  be a positive integer and  $s \in \{t - 1, t\}$ . Then there exists a positive integer  $\kappa(t)$  such that if a graph G satisfies the following conditions:

2 
$$\bar{a}(x) \leq \frac{k(x) - \kappa(t)}{s}$$
 for all  $x \in V(G)$ ;

$$\lambda_{\min}(G) \ge -t - 1,$$

then the following holds:

Background and basic definitions	Main results, 1	Concept of Hoffman graphs	Structure theorem of Hoffman graphs	Main results,
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$$k(x) > \kappa(t)$$
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then the following holds:

(a) If s = t - 1, then G is the slim graph of a t-fat  $\mathfrak{G}(t)$ -line Hoffman graph;

(b) If s = t, then G is the slim graph of a (t + 1)-fat  $\{\mathfrak{h}^{(t+1)}\}$ -line Hoffman graph.

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We already have seen (b) before. (In quite different form.)

Background and basic definitions	Main results, 1	Concept of Hoffman graphs	Structure theorem of Hoffman graphs	Main results,
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#### Main theorem (Plex version)

Let  $t \ge 2$  be a positive integer and  $s \in \{t - 1, t\}$ . Then there exists a positive integer K(t) such that if a graph G satisfies the following conditions:

• 
$$k(x) > K(t)$$
 for all  $x \in V(G)$ ;

② for all  $x \in V(G)$ , a  $(t^2 + 1)$ -plex containing x has order at most  $\frac{k(x) - K(t)}{s}$ ;

$$\lambda_{\min}(G) \ge -t - 1,$$

then the following holds:

- (a) If s = t 1, then G is the slim graph of a t-fat  $\mathfrak{G}(t)$ -line Hoffman graph;
- (b) If s = t, then G is the slim graph of a (t + 1)-fat  $\{\mathfrak{h}^{(t+1)}\}$ -line Hoffman graph.

Key idea of the proof. Let G be a graph satisfies three conditions in main theorem. Then we will construct a Hoffman graph  $\mathfrak{h}(G, m, n)$ (Associated Hoffman graph of G) obtained from G by putting some fat vertices which correspond to very dense subgraphs of G(quasi-clique).

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**Remark.** We assume  $t \ge 2$ , because of the second condition. For t = 1, we do not need the second condition. In this case, we obtain Hoffman original theorem.

Using the plex version of our main theorem and a bound a la Hoffman on the order of t-plexes, we can show:

#### 2-clique extension of a grid

There exists a positive integer t' such that any graph, that is cospectral with the 2-clique extension of  $(t_1 \times t_2)$ -grid is the slim graph of a 2-fat  $\{ \bullet \bullet, \bullet, \bullet \}$ -line Hoffman graph for all  $t_1 \ge t_2 \ge t'$ .

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#### Remark

• For the square grid, we could also use the local valency version of our main theorem, but not for the non-square grids, as they have five distinct eigenvalues.

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- Using this result Yang, Abiad and myself showed that the 2-clique extension of the  $t \times t$ -grid is determined by its spectrum if t is very large.

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- Using this result Yang, Abiad and myself showed that the 2-clique extension of the  $t \times t$ -grid is determined by its spectrum if t is very large.
- This result will be used in the next talk by Sasha Gavrilyuk to show that certain Grassmann graphs are unique as distance-regular graphs.

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#### Thank you for your attention!