

The technique of definable terms in Boolean valued analysis

A. E. GUTMAN

Let Φ be a set of first-order formulas of set-theoretic signature. A formula φ is said to be of class Φ (“ φ is Φ ” for short) whenever $ZFC \vdash [\varphi \Leftrightarrow \varphi']$ for some φ' in Φ . Let $\tau(\bar{x})$ be any term introduced in (a conservative extension of) ZFC by means of a definition of the form $\tau(\bar{x}) = y \Leftrightarrow \varphi(\bar{x}, y)$. Say that τ is of class Φ (“ τ is Φ ”) whenever φ is of class Φ . Say that τ is Φ -definable via a term σ (“ τ is $\Phi(\sigma)$ ”) whenever there is a formula $\varphi(\bar{x}, y, z)$ of class Φ such that $ZFC \vdash [\tau(\bar{x}) = y \Leftrightarrow \varphi(\bar{x}, y, \sigma(\bar{x}))]$.

In what follows, we denote formulas and terms by φ and τ, σ, ρ with possible indices; Δ_0 is the smallest set containing the formulas $x \in y$ and closed under the connectives $\vee, \neg, (\exists x \in y)$; Σ_1 is constituted by the formulas $(\exists x) \varphi$, with φ in Δ_0 . A formula φ is of class Δ_1 (“ φ is Δ_1 ”) whenever φ and $\neg\varphi$ are Σ_1 .

- Lemma.** (1) If $\varphi, \tau, \tau_1, \dots, \tau_n$ are Σ_1 then so are $\varphi(\tau_1, \dots, \tau_n)$ and $\tau(\tau_1, \dots, \tau_n)$.
 (2) If τ_1, \dots, τ_n are Σ_1 and φ is Δ_1 then $\varphi(\tau_1, \dots, \tau_n)$ is Δ_1 .
 (3) If τ is Σ_1 and φ is Δ_1 then $\{\tau(\bar{x}) : \bar{x} \in y, \varphi(\bar{x}, y)\}$ is Σ_1 .
 (4) If τ is $\Sigma_1(\sigma)$ and ρ is $\Sigma_1(\tau)$ then ρ is $\Sigma_1(\sigma)$.
 (5) If $\tau, \tau_1, \dots, \tau_n$ are $\Sigma_1(\sigma)$ then so is $\tau(\tau_1, \dots, \tau_n)$.
 (6) If τ is Σ_1 then $\tau(\sigma)$ is $\Sigma_1(\sigma)$.
 (7) If τ is Σ_1 and φ is Δ_1 then $\{\tau(\bar{x}) : \bar{x} \in \sigma, \varphi(\bar{x}, \sigma)\}$ is $\Sigma_1(\sigma)$.
 (8) If τ is Σ_1 and φ is Δ_1 then $\{\tau(\bar{x}) : \bar{x} \in \sigma, \varphi(\bar{x}, \sigma)\}^{\mathbb{N}}$ is $\Sigma_1(\sigma^{\mathbb{N}})$.

The following example shows that statements (3) and (7) do not extend to the case in which φ is Σ_1 .

Example. Assume that ZFC is consistent and put $\varphi(x) := (\exists z)(z \subseteq \mathbb{N} \wedge z \notin x)$. Then φ is Σ_1 , φ is not Δ_1 , and $\{x \in y : \varphi(x)\}$ is not Σ_1 .

In what follows, $(\cdot)^\wedge$ stands for the canonical embedding of \mathbb{V} into the Boolean valued universe $\mathbb{V}^{(B)}$.

Theorem. If ρ is Σ_1 , τ is $\Sigma_1(\sigma)$, and all the parameters of ρ, σ, τ are in \bar{x} then the following is provable in ZFC: for every complete Boolean algebra B and all \bar{x}

- (1) $\mathbb{V}^{(B)} \models [\rho(\bar{x})^\wedge = \rho(\bar{x}^\wedge)]$;
 (2) $\mathbb{V}^{(B)} \models [\sigma(\bar{x})^\wedge = \sigma(\bar{x}^\wedge)] \Rightarrow \mathbb{V}^{(B)} \models [\tau(\bar{x})^\wedge = \tau(\bar{x}^\wedge)]$.

Let \mathbb{R}_D and \mathbb{R}_C stand for the set of reals defined as Dedekind cuts and, respectively, classes of Cauchy sequences in \mathbb{Q} .

Corollary (ZFC). Let B be a complete Boolean algebra.

- (1) $\mathbb{V}^{(B)} \models [\mathbb{R}_D^\wedge \subseteq \mathbb{R}_D]$; $\mathbb{V}^{(B)} \models [\mathcal{P}_{\text{fin}}(X)^\wedge = \mathcal{P}_{\text{fin}}(X^\wedge)]$ for all X .
 (2) The following properties of B are pairwise equivalent: B is σ -distributive;
 $\mathbb{V}^{(B)} \models [\mathcal{P}(\mathbb{N})^\wedge = \mathcal{P}(\mathbb{N})]$; $\mathbb{V}^{(B)} \models [(\mathbb{N}^{\mathbb{N}})^\wedge = \mathbb{N}^{\mathbb{N}}]$; $\mathbb{V}^{(B)} \models [\mathbb{R}_D^\wedge = \mathbb{R}_D]$; $\mathbb{V}^{(B)} \models [\mathbb{R}_C^\wedge \subseteq \mathbb{R}_C]$;
 $\mathbb{V}^{(B)} \models [\mathbb{R}^\wedge \text{ and } \mathbb{R} \text{ are isomorphic ordered fields}]$.

Sobolev Institute of Mathematics, Novosibirsk State University, Novosibirsk
 E-mail: gutman@math.nsc.ru