On the number of relevant variables for discrete functions

V. N. Potapov

Novosibirsk, Siberia, vpotapov@math.nsc.ru

Abstract

We consider various definitions of degrees of discrete functions and establish relations between the number of relevant (essential) variables and degrees of two- and three-valued functions.

Key words: relevant variable, sensitivity, degree of Boolean function.

1 Introduction

Let T be an arbitrary set and let T^n be the Cartesian power of T. Given a function f on T^n , a variable x_i , $1 \leq i \leq n$, is called *relevant* (essential, or effective) if there exist $a_1, \ldots, a_{i-1}, a_{i+1}, \ldots, a_n \in T$ and $b, c \in T$ such that

 $f(a_1, \ldots, a_{i-1}, b, a_{i+1}, \ldots, a_n) \neq f(a_1, \ldots, a_{i-1}, c, a_{i+1}, \ldots, a_n).$

In this paper we study the relationship between various concept of degrees and the number of relevant variables for two- and three-valued functions on $[q]^n$, where [q] is a q-element set. Binary-valued functions can be considered as indicator functions of subsets of $[q]^n$, so we can speak about the number of relevant variables for sets. For any bijections $\pi : T \to [q]$ and $\sigma : [p] \to P$ relevant variables of $f : [q]^n \to [p]$ one-to-one correspond to relevant variables of $\sigma \circ f \circ \pi$. So, the relevance of variables does not depend on the domain and the image sets of functions. For convenience, we take $\{-1, 1\}$ as the image set of two-valued functions. Binary-valued functions on $\{0, 1\}^n$ are called Boolean.

It is easy to see that every Boolean function $f : \{0,1\}^n \to \{-1,1\}$ can be represented as a real polynomial. The minimum degree of a polynomial that coincides with f on $\{0,1\}^n$ is called the degree of f.

A famous theorem of Nisan and Szegedy [6] states that a Boolean function of degree d has at most $d2^{d-1}$ relevant variables. A similar bound, in which the degree of a Boolean function is replaced by the order of correlation immunity, was proved in [8]. This bound was improved to $6.614 \cdot 2^d$ in [4], and then it was further improved to $4.394 \cdot 2^d$ in [11].

It is possible to generalize the definition of the degree to other discrete functions in different ways, one of them is used by Filmus and Ihringer [5]. The precise definition of this degree will be given in the next section. The remark at the end of their paper [5] and the upper bound for Boolean functions from [11] imply that a two-valued function f on $[q]^n$ of degree d has at most $4.394 \cdot 2^{\lceil \log_2 q \rceil d}$ relevant variables. In [10] this bound was improved to $\frac{dq^{d+1}}{d(q-1)}$ for $q \neq 2^s$.

 $4.394 \cdot 2^{\lceil \log_2 q \rceil d}$ relevant variables. In [10] this bound was improved to $\frac{dq^{d+1}}{d(q-1)}$ for $q \neq 2^s$. In the next section we introduce degrees $\deg_i(f)$ for functions $f:[q]^n \to [p]$, where $\deg_0(f)$ coincides with the degree d. We prove upper bounds $\frac{1}{4}\pi^2 \deg_1(f)q^{\deg_0(f)-1}$ and $\frac{1}{2}\pi^2 \deg_2(f)q^{\deg_0(f)-2}$ (Theorem 1) for the number of relevant variables of two-valued functions. Unlike the previous bounds, the new bounds depend on $\deg_1(f)$ and $\deg_2(f)$. It occurs that they are better than the previous bounds for some classes of functions. For example, the second bound is better than others if $q \ge 4$ and $\deg_2(f) = \deg_0(f)$. Moreover, we obtain upper bounds $\frac{\deg_0(f)q^{\deg_0(f)+1}}{3(q-1)}$ (Theorem 2), $\frac{\pi^2}{3} \deg_1(f)q^{\deg_0(f)-1}$, and $\frac{2\pi^2}{3} \deg_2(f)q^{\deg_0(f)-2}$ (Theorem 3) for the number of relevant variables in the case of three-valued functions.

Our proofs are based on the notion of an average sensitivity. We consider a function $f : [q]^n \to [p]$ as a p-coloring of a graph G such that $|V(G)| = q^n$. The average sensitivity I[f] is the number of mixed colored edges in G. Our estimation of I[f] is similar to the proof of the Bierbrauer-Friedman bound (see [2] and [7]) and depends on the adjacency matrix of G. In previous papers [6], [5], [11], [10] the authors implicitly or explicitly treated G as the Hamming graph. In the present paper we use the Cartesian products of cycles instead of the Hamming graphs.

Moreover, in Section 3 we discuss relations between these degrees and other well-known degrees of Boolean function such as numerical and algebraic degrees.

2 Fourier–Hadamard transform

In this section we treat the domain $[q]^n$ of functions as an abelian group G of order $[q]^n$. Consider the vector space V(G) consisting of functions $f: G \to \mathbb{C}$ with the inner product

$$(f,g) = \sum_{x \in G} f(x)\overline{g(x)}.$$

A function $f : G \to \mathbb{C} \setminus \{0\}$ mapping from G to the non-zero complex numbers is called a character of G if it is a group homomorphism from G to \mathbb{C} , i.e., $\phi(x+y) = \phi(x)\phi(y)$ for each $x, y \in G$. The set of *characters* of G is an orthogonal basis of V(G).

We consider the linear space $V(\mathbb{Z}_q^n)$ of complex valued functions with finite domain $\mathbb{Z}_q^n = (\mathbb{Z}/q\mathbb{Z})^n$. Let $\xi = e^{2\pi i/q}$. We can define characters of \mathbb{Z}_q^n as $\phi_z(x) = \xi^{\langle x, z \rangle}$, where and $\langle x, z \rangle = x_1 z_1 + \cdots + x_n z_n \mod q$ for each $z \in \mathbb{Z}_q^n$.

Below we will consider \mathbb{Z}_q as the set $\{-\frac{q-2}{2}, \ldots, -1, 0, 1, \ldots, q/2\}$ if q is even and as the set $\{-\frac{q-1}{2}, \ldots, -1, 0, 1, \ldots, \frac{q-1}{2}\}$ if q is odd. We define the *m*th degree of ϕ_z , $z = (z_1, \ldots, z_n)$, as the sum $\deg_m(\phi_z) = \sum_{k=1}^n |z_k|^m$. A weight of $z \in \mathbb{Z}_q^n$ is the number of nonzero coordinates of z, i.e., wt $(z) = \deg_0(\phi_z)$.

Changing the variables $x_i \to y_i = \xi^{x_i}$ or $x_i \to y_i = \xi^{-x_i}$ we see that ϕ_z corresponds to an ordinary monomial of degree deg₁ ϕ_z .

Consider the expansion of $f \in V(\mathbb{Z}_q^n)$ with respect to the basis of characters

$$f(x) = \frac{1}{q^n} \sum_{z \in \mathbb{Z}_q^n} W_f(z) \phi_z(x), \tag{1}$$

where $W_f(z) = (f, \phi_z)$ are called the Fourier-Hadamard coefficients of f. The function $W_f \in V(\mathbb{Z}_q^n)$ is called the Fourier-Hadamard or Walsh-Hadamard (in binary case) transform of f. We define

$$\deg_m(f) = \max_{W_f(z) \neq 0} \deg_m(\phi_z)$$

If q = 2 or q = 3 then we see that $\deg_m(f) = \deg_0(f)$ for all m. Note that in [5] and [10] the authors call $\deg_0(f)$ a degree of f.

3 Properties of numerical degree of Boolean functions

Let T be a finite subset of \mathbb{C} . Consider the linear space $V(T^n)$ of complex valued functions on T^n . Let $C_k(x_1,\ldots,x_n)$ be the linear space of polynomials over \mathbb{C} , where every variable has degree at most k-1.

Proposition 1. For every function $f \in V(T^n)$ there exists unique polynomial $P_f \in C_k(x_1, \ldots, x_n)$, k = |T|, such that $P_f|_{T^n} = f$.

Proof. We will prove the existence of the polynomial by induction. If n = 1 then P_f is the Lagrange interpolating polynomial. By the induction hypothesis, there exist $P_i|_{T^{n-1}\times\{t_i\}} =$

 $f|_{T^{n-1}\times\{t_i\}}$, where $t_i \in T$. Then $P_f(\overline{x}) = \sum_{i=1}^n P_i(\widetilde{x}_i) \frac{\prod_{t_j \in T \setminus \{t_i\}} (x_i - t_j)}{\prod_{t_j \in T \setminus \{t_i\}} (t_i - t_j)}$, where \widetilde{x}_i is the set of all variables except for x_i . Since the dimensions of $V(T^n)$ and $C_k(x_1, \ldots, x_n)$ coincide, such a

polynomial is unique. \Box

Let deg P be the degree of $P \in C_k(x_1, \ldots, x_n)$ and let deg P be the maximum number of variables in the monomials of P. Obviously, $\deg' P \leq \deg P$ and if k = 2 then $\deg' P = \deg P$. We define $\deg_{num} f = \deg P_f$ and $\deg'_{num} f = \deg' P_f$.

Proposition 2. Let $s : \mathbb{Z}_q \to \mathbb{C}$ be defined by the equation $s(x) = \xi^x$. Suppose that $f = g \circ s$, where $f: \mathbb{Z}_q^n \to \mathbb{C}$ and $g \in V((s(\mathbb{Z}_q))^n)$. Then $\deg_{num}^r g = \deg_0 f$ and $\deg_{num} g \ge \deg_1 f$.

Proof. By (1) we have

$$g(x_1,\ldots,x_n) = \frac{1}{q^n} \sum_{z \in \mathbb{Z}_q^n} W_f(z) x_1^{z_1} \cdots x_n^{z_n} = \frac{1}{q^n} \sum_{y \in \{0,1,\ldots,q-1\}^n} W_f(z) x_1^{y_1} \cdots x_n^{y_n},$$

where $y_i = z_i \mod q$. Therefore, $\deg_{num} g = \max_y \sum_{k=1}^n y_k \ge \max_z \sum_{k=1}^n |z_k| = \deg_1 f$. Moreover, $\deg_{num}' g = \max_{W_f(z) \neq 0} \operatorname{wt}(z) = \deg_0 f. \square$

Consider a function $f: \mathbb{Z}_q^n \to \mathbb{C}$ and two surjections $s_i: \mathbb{Z}_q \to \mathbb{C}, i = 1, 2$. Let $T_i = s_i(\mathbb{Z}_q)$ and $f = g_i \circ s_i$, i = 1, 2. Then $g_i \in V(T_i^n)$, i = 1, 2. It is easy to see that $\deg'_{num} g_1 = \deg'_{num} g_2$ but $\deg_{num} g_1$ and $\deg_{num} g_2$ may be different even in the case n = 1. So if we want to define the degree $\deg_{num} f$ as $\deg_{num} g_1$ then it will unfortunately depend on the surjection of a finite set into \mathbb{C} . Below we will consider the case |T| = 2 in more detail. In this case $\deg_{num} f = \deg'_{num} f$ and therefore this degree does not depend on the surjection into \mathbb{C} .

Next we treat the domain $[2]^n$ of functions as a vector space \mathbb{F}_2^n . A real valued function $f: \mathbb{F}_2^n \to \mathbb{R}$ is called a *pseudo-Boolean function*. By Proposition 1 every pseudo-Boolean function can be represented in *numerical normal form* (NNF)

$$f(x_1, \dots, x_n) = \sum_{y \in \mathbb{F}_2^n} a(y) x_1^{y_1} \cdots x_n^{y_n},$$
(2)

where $x^0 = 1, x^1 = x$, and $a(y) \in \mathbb{R}$. The maximum degree of the monomial in NNF is called the numerical degree of f.

Every Boolean function $f: \mathbb{F}_2^n \to \mathbb{F}_2$ can be represented in algebraic normal form (ANF)

$$f(x_1, \dots, x_n) = \bigoplus_{y \in \mathbb{F}_2^n} M_f(y) x_1^{y_1} \cdots x_n^{y_n},$$
(3)

where $x^0 = 1, x^1 = x$, and the function $M_f : \mathbb{F}_2^n \to \mathbb{F}_2$ is called the *Möbius transform* of f. It is well known (see [3]) that for every function ANF is unique.

The maximal degree of the monomial in ANF of f is called *algebraic degree* of f, i.e., $\deg_{alg}(f) = \max_{M_f(y)=1} \operatorname{wt}(y)$. If all monomials have degree one, then f is a *linear* function. Denote by ℓ_u the linear function $\ell_u(x) = \langle u, x \rangle = u_1 x_1 \oplus u_2 x_1 \oplus \cdots \oplus u_n x_n$, where $u \in \mathbb{F}_2^n$, and $\ell_1(x) = x_1 \oplus x_2 \oplus \cdots \oplus x_n$. Obviously, if $f \neq const$ or $f \neq \ell_1$ then $\deg_{alg}(f) = \deg_{alg}(f \oplus \ell_1)$. A variable x_i of f is called *linear* if $f(x_1, \ldots, x_n) = g(x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_n) \oplus x_i$.

We can consider a Boolean function as a pseudo-Boolean function with values $\{0, 1\} \subset \mathbb{R}$. It is easy to prove that $\deg_{alg}(f) \leq \deg_{num}(f)$ for any Boolean function f. Indeed, consider ANF $f(x_1, \ldots, x_n) = \bigoplus_{y \in \mathbb{F}_2^n} a(y) x_1^{y_1} \cdots x_n^{y_n}$, where $a(y) = M_f(y)$. Then

$$(-1)^{f(x_1,\dots,x_n)} = \prod_{y \in \mathbb{F}_2^n} (-1)^{a(y)x_1^{y_1}\dots x_n^{y_n}}, \text{ and } 1 - 2f(x) = \prod_{y \in \mathbb{F}_2^n} (1 - 2a(y)x_1^{y_1}\dots x_n^{y_n}), \text{ since}$$

 $(-1)^b = 1 - 2b$ for $b \in \{0, 1\} \subset \mathbb{R}$. Using equality $r^2 = r$ for $r \in \{0, 1\} \subset \mathbb{R}$

Using equality $x^2 = x$ for $x \in \{0, 1\} \subset \mathbb{R}$, we obtain that

$$\deg_{alg}(f) \le \deg_{num}(f) = \deg_{num}((-1)^f).$$

Denote by $V(\mathbb{F}_2^n)$ the 2^n -dimensional vector space (over \mathbb{R}) of pseudo-Boolean functions. By (1), we have

$$(-1)^{f}(x) = \frac{1}{2^{n}} \sum_{y \in \mathbb{F}_{2}^{n}} W_{f}(y) (-1)^{\langle y, x \rangle},$$

where $W_f(y)$ are the Walsh-Hadamard coefficients of f. Since $(-1)^{\langle y,x\rangle} = \prod_{i=1}^n (-1)^{y_i x_i} = \prod_{i=1}^n (1-2y_i x_i)$, we have

$$(-1)^f(x) = \frac{1}{2^n} \sum_{y \in \mathbb{F}_2^n} W_f(y) \prod_{i=1}^n (1 - 2y_i x_i).$$

Then

$$\deg_{num}(f) = \deg_{num}((-1)^f) = \max_{W_f(y) \neq 0} \operatorname{wt}(y) = \deg_0(f).$$
(4)

Proposition 3. For every Boolean function f it holds $\deg_{alg}(f) \leq \min\{\deg_0(f), n - \deg_0(f)\}$.

Proof. Denote by $\mathcal{W}(f)$ the multiset of Walsh–Hadamard coefficients of f. From the definitions we see that

$$y \in \mathcal{W}(f) \Leftrightarrow y \oplus \mathbf{1} \in \mathcal{W}(f \oplus \ell_1).$$
 (5)

Then $\deg_{num}(f \oplus \ell_1) = n - \min_{W_f(y) \neq 0} \operatorname{wt}(y)$. Since $\deg_{alg}(f) = \deg_{alg}(f \oplus \ell_1)$ if $\deg_{alg}(f) > 1$, then we obtain another inequality $\deg_{alg}(f) \leq \min\{\max_{W_f(y) \neq 0} \operatorname{wt}(y), n - \min_{W_f(y) \neq 0} \operatorname{wt}(y)\}$. By (4) we obtain the required inequality if $\deg_{alg}(f) > 1$. For $\deg_{alg}(f) \leq 1$ the required inequality is obviously true. \Box

Denote by t(f) the number of the relevant variables of f. From the definitions, we have $\deg_{alg}(f) \leq t(f)$ for Boolean and $\deg_{num}(f) \leq t(f)$ for pseudo-Boolean functions. Does there exist a reversed inequality in a general case? There exists a Boolean function ℓ_1 with minimal algebraic degree $\deg_{alg}(\ell_1) = 1$ and maximal number n of the relevant variables. Moreover, there exists a pseudo-Boolean function $j(x) = (-1)^{x_1} + \cdots + (-1)^{x_n} = n - 2(x_1 + \cdots + x_n)$ with

minimal numerical degree $\deg_{num}(j) = 1$ and maximal number *n* of the relevant variables. Thus, the inequalities for algebraic degree of Boolean functions and for numerical degree of pseudo-Boolean functions cannot be reversed. However, as mentioned in Introduction, the numerical degree provides an upper bound for the number of relevant variables in the case of Boolean functions. In the next sections we prove upper bounds for the number of the relevant variables for *q*-ary two- and three-valued functions.

4 Bounds for two-valued functions

The Cayley graph Cay(G, S) on abelian group G with connecting set $S, S \subset G, S = -S, 0 \notin S$, is the graph whose vertices are the elements of G and whose edge set E is $\{\{x, a+x\} : x \in G, a \in S\}$.

It is well known that the set of scalar characters of abelian group G is an orthogonal basis consisting of the eigenfunctions of Cay(G, S). The eigenfunctions of a graph Γ are eigenvectors of the adjacency matrix of Γ .

Proposition 4 ([1], Corollary 3.2). Let ϕ be a character of \mathbb{Z}_q^n . Then its eigenvalue with respect to $Cay(\mathbb{Z}_q^n, S)$ is equal to $\sum_{s \in S} \phi(s)$.

Let $S \subseteq \mathbb{Z}_q \setminus \{0\}$. Consider $S^n = \{(0, \ldots, 0, \underbrace{s}_i, 0, \ldots, 0) : s \in S, i = 1, \ldots, n\} \subset \mathbb{Z}_q^n$ as a connecting set in \mathbb{Z}_q^n . If $S = \mathbb{Z}_q \setminus \{0\}$ then $Cay(\mathbb{Z}_q, S)$ is the complete graph K_q . By the definition of the Cayley graph we obtain that $Cay(\mathbb{Z}_q^n, S^n) = K_q \Box \cdots \Box K_q$. This graph is equal to the Hamming graph H(n,q). The Hamming graph induces the Hamming distance d_H between vertices. This distance $d_H(u,v)$ is equal to the number of places in which *n*-tuples $u, v \in \mathbb{Z}_q^n$ differ. The eigenvalues of the Hamming graphs are well known and are obtained from Proposition 4.

Corollary 1. The eigenfunction $\phi_z(x) = \xi^{\langle x, z \rangle}$ corresponds to the eigenvalue $\lambda_z = (q-1)n - qwt(z)$ in H(n,q).

In the present paper we take $S = \{-1, 1\}$. Thus, $Cay(\mathbb{Z}_q, S)$ is the circular graph C_q consisting of one cycle. In this case S^n is a collection of *n*-dimensional vectors consisting of ± 1 and zeros. Then $Cay(\mathbb{Z}_q^n, S^n) = C_q \square \cdots \square C_q = C_q^n$. The graph C_q^n is called a hypercube with induced Lee distance d_L , where $d_L(u, v) = \sum_{i=1}^n \min\{|u_i - v_i|, q - |u_i - v_i|\}$. If q = 2 or q = 3, then the Hamming and Lee distances are the same. We say that an edge $\{x, y\}$ in C_q^n has direction *i* if vertices *x* and *y* differ in the *i*th position.

For given a vector $z \in \mathbb{Z}_q^n$ denote by $a_k(z)$ the number of elements $k \in \mathbb{Z}_q$ in z. Then the weight of z is wt $(z) = \sum_{k \in \mathbb{Z}_q} a_k(z)$. By Proposition 4, we obtain

Corollary 2. The eigenfunction $\phi_z(x) = \xi^{\langle x, z \rangle}$ corresponds to the eigenvalue $\lambda_z = 2n - 4 \sum_{k \in \mathbb{Z}_q} a_k(z) \sin^2 \frac{\pi k}{q}$ in C_q^n .

Proof.

$$\lambda_z = \sum_{i=1}^n (\xi^{z_i} + \xi^{-z_i}) = \sum_{i=1}^n 2\cos\frac{2\pi z_i}{q}$$
$$= 2n - \sum_{k \in \mathbb{Z}_q} 2a_k(z)(1 - \cos\frac{2\pi k}{q}) = 2n - \sum_{k \in \mathbb{Z}_q} 4a_k(z)\sin^2\frac{\pi k}{q}.$$

We will use some results on the theory of invariant subspaces of Hamming graphs developed by Valyuzhenich and his coauthors. Denote by $U_k(n,q)$ the linear span of all ϕ_z , where z has weight k. $U_k(n,q)$ is a subspace of $V(\mathbb{Z}_q)$. The direct sum of subspaces

$$U_0(n,q) \oplus \cdots \oplus U_m(n,q)$$

is denoted by $U_{[0,m]}(n,q)$. Straightforwardly, $U_{[0,m]}(n,q)$ is the set of functions f such that $\deg_0(f) \leq m$ (see [10]).

Proposition 5 ([10], Theorem 1). Let $f \in U_{[0,m]}(n,q)$, where $q \geq 3$ and $f \neq 0$. Then $|\operatorname{supp}(f)| \geq q^{n-m}$.

Denote by $f|_{x_i=a}$ a retract of f, i.e.,

$$f|_{x_i=a}(x_1,\ldots,x_{i-1},x_{i+1},\ldots,x_n) = f(x_1,\ldots,x_{i-1},a,x_{i+1},\ldots,x_n).$$

Proposition 6 ([9], Lemma 4). If $f \in U_{[0,m]}(n,q)$, m > 0. Then the difference $f|_{x_i=a} - f|_{x_i=b}$ belongs to $U_{[0,m-1]}(n-1,q)$.

Corollary 3. If $f|_{x_i=a} \neq f|_{x_i=b}$ then $|\operatorname{supp}(f|_{x_i=a} - f|_{x_i=b})| \geq q^{n-\deg_0(f)}$.

The next property follows from the definition of the Fourier–Hadamard coefficients.

Proposition 7. If a function f does not essentially depend on variable x_i and $z_i \neq 0$ then $W_f(z) = 0$.

By the definition of degree we obtain

Corollary 4. If a function f has m relevant variables then $\deg_0(f) \le n - m$.

Next, we prove the converse statement on the bound of the number of relevant variables under conditions on the degrees of functions. The proof of the following theorem is similar to the arguments from [10] but we use the hypercube with the Lee metric instead of one with the Hamming metric.

Theorem 1. For a Boolean valued function f on \mathbb{Z}_q^n it holds

$$t(f) \le \frac{\pi^2}{4} \deg_1(f) q^{\deg_0(f)-1}$$
 and $t(f) \le \frac{\pi^2}{2} \deg_2(f) q^{\deg_0(f)-2}$,

where t(f) is the number of relevant variables of f.

Proof. We will consider the domain of f as the vertex set of C_q^n . Let A be the adjacency matrix of C_q^n . An edge $\{x, y\}$ of C_q^n is called mixed colored if $f(x) \neq f(y)$. The total number of edges of C_q^n is nq^n . Denote by I[f] the number of mixed colored edges of C_q^n . Note that the average number $\frac{I[f]}{|V(H(n,q))|}$ of mixed colored edges in the Hamming graph is called the average sensitivity of f. But I[f] may be less than the sensitivity of f in the case of C_q^n . Straightforwardly, we can prove that

$$-(Af, f) = 2I[f] - (2nq^n - 2I[f]).$$

By the definition of characters, we obtain that $f = \frac{1}{q^n} \sum_{z \in \mathbb{Z}_q^n} W_f(z) \phi_z$, and

$$(Af,f) = \frac{1}{q^{2n}} \sum_{z \in \mathbb{Z}_q^n} \lambda_z |W_f(z)|^2(\phi_z,\phi_z).$$
(6)

It is clear that $(\phi_z, \phi_z) = q^n$. By Corollary 2, we obtain that

$$I[f] = \frac{1}{q^n} \sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 \sum_{k \in \mathbb{Z}_q} a_k(z) \sin^2 \frac{\pi k}{q}.$$
 (7)

Using $\sin^2 y = \sin^2(\pi - y)$ and $\sin^2 y \le y^2$, we have

$$I[f] \le \frac{1}{q^n} \sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 \sum_{k=-k_1'}^{k_1} a_k(z) \left(\frac{\pi k}{q}\right)^2,$$
(8)

where $k_1 = \frac{q}{2}$, $k'_1 = \frac{q}{2} - 1$ if q is even and $k'_1 = k_1 = (q-1)/2$ if q is odd. By the definition of degrees, we obtain that $\sum_{k=-k'_1}^{k_1} a_k(z)k^2 \leq \deg_2(f)$ and $\sum_{k=-k'_1}^{k_1} a_k(z)k^2 \leq k_1 \deg_1(f)$ for all $z \in \mathbb{Z}_q^n$. Then from Parseval's identity $\sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 = q^{2n}$ and (8) we obtain

$$I[f] \le \frac{\deg_2(f)}{q^n} \left(\frac{\pi}{q}\right)^2 \sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 \le \deg_2(f) \pi^2 q^{n-2} \quad \text{and} \quad I[f] \le 2\deg_1(f) \pi^2 q^{n-1}.$$
(9)

Let x_i be a relevant variable of f. Consider the retracts $f|_{x_i=0}$, $f|_{x_i=1,...}$ There are at least two numbers $a_1, a_2 \in \mathbb{Z}_q$ such that $f|_{x_i=a_j} \neq f|_{x_i=a_j+1 \mod q}$, j = 1, 2. By Corollary 3, we obtain that at least $2q^{n-\deg_0(f)}$ mixed colored edges have direction i. Then $I[f] \geq 2t(f)q^{n-\deg_0(f)}$. By inequalities (9) the proof is complete. \Box

Next we consider an example of a function f_m such that the new estimate of $t(f_m)$ is greater than the previous one. For q = 3 the presented bound $\frac{\pi^2}{2} \deg_2(f)q^{d-2}$ is weaker than Valyuzhenich's bound $\frac{dq^{d+1}}{4(q-1)}$ since $\deg_2(f) \ge \deg_0(f) = d$ and $\frac{\pi^2}{2} \ge \frac{3^3}{8}$. So, consider the following example for q = 4. Let $h : \mathbb{Z}_4 \to \{0, 1\}$ be defined by the vector of values (1, 1, 0, 0). We have equalities $\sum_{x \in \mathbb{Z}_4} h(x)i^{-2x} = \sum_{x \in \mathbb{Z}_4} h(x)i^{2x} = 0$, where $i = \sqrt{-1}$. Consider $f_m : \mathbb{Z}_4^n \to \{0, 1\}$, where $f_m(x_1, \ldots, x_n) = h(x_1) \cdot h(x_2) \cdots h(x_m)$. It is clear that $t(f_m) = m$. Let us estimate $t(f_m)$ using the above formulas. By Proposition 7, we conclude that $W_{f_m}(z) = 0$ if $z_k \neq 0$ for some k > m. If $z_k = 0$ for all k > m, then we obtain that

$$W_{f_m}(z) = \sum_x f_m(x)\xi^{-\langle x,z\rangle} = \sum_x f_m(x)\xi^{-\langle x,z\rangle} = \sum_x h(x_1)i^{-x_1z_1}\cdots h(x_m)i^{-x_mz_m}$$
$$= 4^{n-m}(\sum_{x_1} h(x_1)\xi^{-x_1z_1})\cdots(\sum_{x_m} h(x_m)\xi^{-x_mz_m}), \text{ where } \xi = i.$$

Since $\sum_{x} h(x)i^{-xz} = 0$ for z = 2, we conclude that $\deg_2(f_m) = \deg_0(f_m) = m$. Thus, the new bound $t(f_m) \leq \frac{\pi^2 m}{32} 4^m$ is slightly better than Valyuzhenich's bound $t(f_m) \leq \frac{m 4^m}{3}$.

5 Bounds for three-value functions

It is possible to generalize our methods to functions with three different values. We put the set of values $\Xi = \{1, \xi, \xi^{-1}\}$, where $\xi = e^{\frac{2\pi i}{3}}$. Let the domain of f be the vertex set of C_q^n and let A be the adjacency matrix of C_q^n . It is easy to see that $a\overline{b} + \overline{a}b = -1$ if $a, b \in \Xi$ and $a \neq b$; $a\overline{a} + \overline{a}a = 2$ for each $a \in \Xi$. Then

$$(Af, f) = -I[f] + 2(nq^n - I[f]),$$
(10)

where I[f] is the number of mixed colored edges. Indeed, on the left side of the equation two adjacent vertices with equal values give the term 2 and two adjacent vertices with different values give the term -1.

By (6), (10) and Corollary 2 we obtain that

$$I[f] = \frac{4}{3q^n} \sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 \sum_{k \in \mathbb{Z}_q} a_k(z) \sin^2 \frac{\pi k}{q}.$$
 (11)

Using (11) instead of (7), similarly to Theorem 1 we prove the following inequalities for three-valued functions.

Theorem 2. For a three-valued function f on \mathbb{Z}_q^n it holds

$$t(f) \leq \frac{\pi^2}{3} \deg_1(f) q^{\deg_0(f) - 1} \qquad \text{and} \qquad t(f) \leq \frac{2\pi^2}{3} \deg_2(f) q^{\deg_0(f) - 2},$$

where t(f) is the number of relevant variables of f.

Moreover, using arguments from [10] we can prove the following statement.

Theorem 3. Every three-valued function f of degree $d = \deg_0(f)$ on \mathbb{Z}_q^n , has at most $\frac{dq^{d+1}}{3(q-1)}$ relevant variables.

Proof. Every vertex of the Hamming graph H(n,q) has n(q-1) neighbors instead of 2n neighbors in C_q^n . So, if A is the adjacency matrix of H(n,q), then

$$(Af, f) = -I[f] + 2\left(\frac{n(q-1)}{2}q^n - I[f]\right),$$
(12)

By (6), (12) and Corollary 1 we obtain that

$$3I[f] = \frac{q}{q^n} \sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 \mathrm{wt}(z).$$
(13)

Using Parseval's identity $\sum_{z \in \mathbb{Z}_q^n} |W_f(z)|^2 = q^{2n}$ and the definition $\max_z \operatorname{wt}(z) = \deg_0(f) = d$ we obtain that

$$3I[f] \le q^{n+1}d. \tag{14}$$

Let x_i be a relevant variable of f. By the definition of the relevant variable, not all retracts $f|_{x_i=0}$, $f|_{x_i=1}$,... are equal. Let us estimate the number of pairs of distinct retracts. Suppose that t_j be the number of retracts of type j, where $j = 1, \ldots, k$, $2 \le k \le q$, $\sum_{j=1}^k t_j = q$. It is easy to see that there exist $\sum_{j=1}^k t_j(q-t_j) \ge 2q-2$ ordered pairs of distinct retracts. Thus, by Corollary 3, we obtain that at least $(q-1)q^{n-d}$ mixed colored edges have direction i. Then $I[f] \ge (q-1)t(f)q^{n-d}$. By inequalities (14), the proof is complete. \Box

References

- [1] L. Babai, "Spectra of Cayley graphs," J. Combin. Theory Ser. B 27(2) (1979) 180–189.
- [2] J. Bierbrauer, "Bounds on orthogonal arrays and resilient functions," Journal of Combinatorial Designs, 3 (1995) 179–183.

- [3] C. Carlet, Boolean Functions for Cryptography and Coding Theory. Cambridge University Press, 562 pages, 2020.
- [4] J. Chiarelli, P. Hatami, M. Saks, "An asymptotically tight bound on the number of relevant variables in a bounded degree Boolean function," Combinatorica 40 (2020) 237–244.
- [5] Y. Filmus, F. Ihringer, "Boolean constant degree functions on the slice are juntas," Discrete Mathematics 342(12) (2019) 111614.
- [6] N. Nisan, M. Szegedy, "On the degree of Boolean functions as real polynomials," Computational Complexity 4 (1994) 301–313.
- [7] V. N. Potapov, "On perfect 2-colorings of the q-ary n-cube," Discrete Math. 312(6) (2012) 1269–1272.
- [8] Yu. V. Tarannikov, "On correlation-immune and stable Boolean functions," Mathematical problems in cybernetics. No. 11 (Russian), 91–148, FizMatLit, Moscow, 2002.
- [9] A. Valyuzhenich, K. Vorob'ev, "Minimum supports of functions on the Hamming graphs with spectral constraints," Discrete Mathematics 342(5) (2019) 1351–1360.
- [10] A. Valyuzhenich, "An upper bound on the number of relevant variables for Boolean functions on the Hamming graph," arXiv:2404.10418v1.
- [11] J. Wellens, "Relationships between the number of inputs and other complexity measures of Boolean functions," Discrete Analysis 19 (2022) 21 pp. arXiv:2005.00566v2