

Asymptotic data and Stokes data  
for the  $tt^*$ -Toda equations,  
and some relations with physics

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# INTRODUCTION

The  $tt^*$  equations were introduced by Cecotti and Vafa in the context of supersymmetric quantum field theory.

*S. Cecotti and C. Vafa, Topological — anti-topological fusion, Nuclear Phys. B 1991*

Mathematical explanation: the  $tt^*$  equations arise from Frobenius manifolds “with Hermitian metric” (or “with real structure”). (Example of a Frobenius manifold: quantum cohomology.)

The  $tt^*$  equations are the equations for this metric — the  $tt^*$  metric.

Dubrovin formulated the  $tt^*$  equations (which are nonlinear equations) as an “integrable system” in two ways:

(i) the compatibility condition of a certain system of linear p.d.e. (a “zero curvature equation”)

(ii) the condition that a certain linear o.d.e. is isomonodromic.

*B. Dubrovin, Geometry and integrability of topological-antitopological fusion, Comm. Math. Phys. 1993*

Thus it is possible to apply various methods from the theory of integrable systems: conserved quantities, dressing actions (of infinite dimensional Lie groups), the Riemann-Hilbert Method,...

When the domain is 1-dimensional, the  $tt^*$  equations are

$$\frac{\partial}{\partial \bar{t}} \left( g \frac{\partial}{\partial t} g^{-1} \right) - [C, g C^\dagger g^{-1}] = 0$$

where

- $C =$  (holomorphic) chiral matrix of the theory
- $C^\dagger =$  conjugate-transpose of  $C$ ,
- $g^{-1} =$  Hermitian matrix representing the  $tt^*$  metric

For quantum cohomology,  $C$  is the matrix of quantum multiplication by a generator  $b \in H^2(M; \mathbb{C})$ .

Eg: when  $M = \mathbb{C}P^3$ ,  $C = \begin{pmatrix} 0 & 0 & 0 & q \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$

For the quantum cohomology of  $M = \mathbb{C}P^n$ , the  $tt^*$  metric can be written

$$g = \text{diag}(e^{-2w_0}, \dots, e^{-2w_n})$$

where  $w_0, \dots, w_n$  are real valued functions of  $q, \bar{q}$ . The homogeneity property of quantum cohomology implies that  $w_i$  depends only on  $|q|$ . In view of this, it is convenient to make a change of variable of the form  $q = \alpha t^\beta$ , and then the  $tt^*$  equations become

$$2(w_i)_{t\bar{t}} = -e^{2(w_{i+1}-w_i)} + e^{2(w_i-w_{i-1})}, \quad i = 0, 1, \dots, n$$

together with the extra condition  $w_i + w_{n-i} = 0$ . This is a version of the periodic Toda equations. We call it the  $tt^*$ -Toda equation.

(We interpret  $w_{n+1}, w_{-1}$  here as  $w_0, w_n$  respectively.)

Physically, a solution is a massive deformation of a conformal field theory, and the existence of such a deformation says something about that theory. Cecotti and Vafa made a series of conjectures about the solutions:

- there should exist (globally smooth) solutions  $w = w(|t|)$  on  $\mathbb{C}^*$
- these solutions should be characterized by asymptotic data at  $t = 0$  (the “ultra-violet point”; here the data is the chiral charges, essentially the holomorphic matrix  $C$ )
- these solutions should equally be characterized by asymptotic data at  $t = \infty$ , (the “infra-red point”; here the data is the soliton multiplicities  $s_i$ ).

Note: We will say more about solitons later.

# RESULTS



**Theorem 1:** (M. Guest - A. Its - C.-S. Lin, arXiv 2010-2017, T. Mochizuki, arXiv 2013)

For each  $N > 0$ , there is a 1 : 1 correspondence between solutions of the  $tt^*$ -Toda equations on  $\mathbb{C}^*$  and 1-forms  $\eta(z) dz$  on (the universal cover of)  $\mathbb{C}^*$ , where

$$\eta(z) = \left( \begin{array}{c|c|c|c} & & & z^{k_0} \\ \hline & & & \\ \hline z^{k_1} & & & \\ \hline & \ddots & & \\ \hline & & z^{k_n} & \\ \hline \end{array} \right) .$$

- $k_i \in [-1, \infty)$
- $n + 1 + \sum_{i=0}^n k_i = N$
- $k_i = k_{n-i+1}$  for  $i = 1, \dots, n$

The variable  $z$  is related to the variable  $t$  of the  $tt^*$ -Toda equations by  $t = \frac{n+1}{N} z^{\frac{N}{n+1}}$ .

This theorem has several interpretations:

(p.d.e.)

Asymptotics of the solution at  $t = 0$ :

$w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$ , where the  $m_i$  are defined by  $m_{i-1} - m_i + 1 = \frac{n+1}{N}(k_i + 1)$ .

(harmonic maps)

$\frac{1}{\lambda} \eta(z) dz$  is a (normalized) DPW potential for the harmonic map corresponding to the solution ( $\lambda = \hbar =$  loop parameter).

(DPW=Dorfmeister-Pedit-Wu)

(harmonic bundles)

$\eta(z)dz$  is a Higgs field for the parabolic harmonic bundle corresponding to the solution.

(chiral ring)

$\eta(z)$  is the matrix of quantum multiplication by a generator in the chiral ring corresponding to the solution,

E.g.  $\mathbb{C}P^n$ :

$$k_0 = 0, k_1 = \dots = k_n = -1$$

$$z = q$$

$$\eta(z)dz = C \frac{dq}{q}$$

Using monodromy data (Stokes data), the solutions can also be characterized by their asymptotics at  $t = \infty$ :

**Theorem 2:** (M. Guest - A. Its - C.-S. Lin, arXiv 2010-2017)

There is a 1 : 1 correspondence between solutions of the  $tt^*$ -Toda equations on  $\mathbb{C}^*$  with  $n$ -tuples of “Stokes parameters”  $s = (s_1, \dots, s_n)$  where  $s_i$  is the  $i$ -th symmetric function of  $e^{(2m_0+n)\frac{\pi\sqrt{-1}}{n+1}}$ ,  $e^{(2m_1+n-2)\frac{\pi\sqrt{-1}}{n+1}}$ ,  $\dots$ ,  $e^{(2m_n-n)\frac{\pi\sqrt{-1}}{n+1}}$ .

As  $t \rightarrow \infty$  we have, for each  $k = 1, \dots, n$ ,

$$-\frac{4}{n+1} \sum_{p=0}^{[\frac{1}{2}(n-1)]} w_p \sin \frac{(2p+1)k\pi}{n+1} \sim s_k F(L_k |t|)$$

where  $F(|t|) = \frac{1}{2}(\pi|t|)^{-\frac{1}{2}}e^{-2|t|}$ ,  $L_k = 2 \sin \frac{k}{n+1} \pi$ .

Remark: The Stokes parameters determine a matrix  $M^{(0)}$  (which will be described later). In turn, the matrix  $M^{(0)}$  determines all “Stokes matrices” in the classical sense.

# STRATEGY OF THE PROOFS (OF THEOREMS 1-2)

**STEP 1:** Construct solutions on  $0 < |t| < \epsilon$  such that  $w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$ .

(Banach Lie group factorization — can be done locally)

**STEP 2:** Construct solutions on  $R < |t| < \infty$  (such that  $w_i \sim 0$  as  $t \rightarrow \infty$ ).

(Riemann-Hilbert problem — can be solved locally)

**STEP 3:** Characterize all global solutions on  $0 < |t| < \infty$  such that  $w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$  and  $w_i \sim 0$  as  $t \rightarrow \infty$ .

(p.d.e. existence/uniqueness theorem — method of subsolutions and supersolutions)

**STEP 4:** Match up the results of Steps 1-3.

(Steps 1 and 3 give Theorem 1; Step 2 is needed in order to compute Stokes data in Theorem 2, and will be needed for more precise asymptotics of solutions)

STEP 1: Given  $k_0, \dots, k_n \geq -1$ , construct some solutions on intervals of the form  $0 < |t| < \epsilon$  such that  $w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$ .

Start from

$$\eta(z) = \begin{pmatrix} & & & z^{k_0} \\ z^{k_1} & & & \\ & \ddots & & \\ & & & z^{k_n} \end{pmatrix}.$$

Solve the (complex) o.d.e.

$$\frac{dL}{dz} = \frac{1}{\lambda} L \eta, \quad L(0) = I$$

for matrix valued  $L = L(z, \lambda)$  (near  $z = 0$ ).

Factorize:  $L = L_{\mathbb{R}} L_+$  near  $I$  (Iwasawa factorization, regarding  $L$  as a Banach loop group valued function).

Then  $\alpha = (L_{\mathbb{R}})^{-1} dL_{\mathbb{R}}$  satisfies  $d\alpha + \alpha \wedge \alpha = 0$ , and this is the zero curvature form of the Toda equation.

STEP 2: Given  $s_1, \dots, s_n \in \mathbb{R}$ , construct some solutions on intervals of the form  $R < |t| < \infty$  such that

$-\frac{4}{n+1} \sum_{p=0}^{[\frac{1}{2}(n-1)]} w_p \sin \frac{(2p+1)k\pi}{n+1} \sim s_k F(L_k |t|)$  as  $t \rightarrow \infty$ .

Start with the isomonodromic form of the Toda equation. This is a complex o.d.e. in  $\lambda$  (with poles of order 2 at  $\lambda = 0, \infty$ ).

Calculate the monodromy data (Stokes matrices, connection matrices) of the solutions constructed in Step 1.

Pose a Riemann-Hilbert problem based on the above monodromy data. This is equivalent to a linear singular integral equation. It can be solved near  $t = \infty$ .



**STEP 3:** Characterize all global solutions on  $0 < |t| < \infty$  such that  $w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$  and  $w_i \sim 0$  as  $t \rightarrow \infty$ .

P.d.e. theorem: Assume  $m_{i-1} - m_i + 1 \geq 0$  (i.e.  $k_i \geq -1$ ). Then  $\exists!$  solution on  $0 < |t| < \infty$  such that  $w_i \sim -m_i \log |t|$  as  $t \rightarrow 0$  and  $w_i \sim 0$  as  $t \rightarrow \infty$ .

**STEP 4:** Match up the results of Steps 1-3.

This uses the actual values of the Stokes matrices and connection matrices which were computed in Step 2.

As a “bonus” (going beyond Theorems 1 and 2), we mention the more precise asymptotics of solutions (near  $t = 0$ ) which follow from that computation:

## SAMPLE FOR THE GENERIC CASE

$(m_{i-1} - m_i + 1 > 0)$ :

$$n = 3 \quad w_0, w_1 \quad (w_2 = -w_1, w_3 = -w_0)$$

$$w_0 \sim -m_0 \log |t| + \frac{1}{2}\rho_0 + o(1)$$

where

$$\rho_0 = -\log 2^{-4m_0} \frac{\Gamma(\frac{-m_0}{2} + \frac{1}{4})\Gamma(\frac{-m_0 - m_1}{4} + \frac{1}{2})\Gamma(\frac{-m_0 + m_1}{4} + \frac{3}{4})}{\Gamma(\frac{-m_1 + m_0}{4} + \frac{1}{4})\Gamma(\frac{m_0 + m_1}{4} + \frac{1}{2})\Gamma(\frac{m_0}{2} + \frac{3}{4})}$$

$$w_1 \sim -m_1 \log |t| + \frac{1}{2}\rho_1 + o(1)$$

where

$$\rho_1 = -\log 2^{-4m_1} \frac{\Gamma(\frac{-m_1 + m_0}{4} + \frac{1}{4})\Gamma(\frac{-m_0 - m_1}{4} + \frac{1}{2})\Gamma(\frac{-m_1}{2} + \frac{3}{4})}{\Gamma(\frac{m_1}{2} + \frac{1}{4})\Gamma(\frac{m_0 + m_1}{4} + \frac{1}{2})\Gamma(\frac{-m_0 + m_1}{4} + \frac{3}{4})}$$

SAMPLE FOR THE NON-GENERIC CASE:

$$n = 3 \quad w_0, w_1 \quad (w_2 = -w_1, w_3 = -w_0)$$

$$m_0 = -\frac{3}{2}, m_1 = -\frac{1}{2} \quad (\text{here } m_0 - m_1 + 1 = 0)$$

$$w_0(t) = \frac{3}{2} \log |t| + \frac{1}{2} \log \left( -\frac{1}{24} \zeta(3) - \frac{4}{3} \gamma_{\text{eu}}^3 - 4 \gamma_{\text{eu}}^2 \log \frac{|t|}{4} - 4 \gamma_{\text{eu}} \log^2 \frac{|t|}{4} - \frac{4}{3} \log^3 \frac{|t|}{4} \right) + O(|t|^4 \log^6 |t|)$$

$$w_0(t) + w_1(t) = 2 \log |t| + \frac{1}{2} \log \left( -\frac{1}{12} \gamma_{\text{eu}} \zeta(3) + \frac{4}{3} \gamma_{\text{eu}}^4 + \left( -\frac{1}{12} \zeta(3) + \frac{16}{3} \gamma_{\text{eu}}^3 \right) \log \frac{|t|}{4} + 8 \gamma_{\text{eu}}^2 \log^2 \frac{|t|}{4} + \frac{16}{3} \gamma_{\text{eu}} \log^3 \frac{|t|}{4} + \frac{4}{3} \log^4 \frac{|t|}{4} \right) + O(|t|^4 \log^6 |t|)$$

$$(\gamma_{\text{eu}} = \text{Euler constant}, \zeta(3) = \sum_{k=1}^{\infty} k^{-3})$$

# SOME APPLICATIONS

## Application 1 (Lie theory): The Coxeter Plane

Let  $\mathfrak{g}$  be a complex simple Lie algebra, with corresponding simply-connected Lie group  $G$ .

Let  $\alpha_1, \dots, \alpha_l \in \mathfrak{h}^*$  be a choice of simple roots of  $\mathfrak{g}$  with respect to the Cartan subalgebra  $\mathfrak{h}$ . Let  $\Delta$  be the set of all roots.

(in this talk  $\mathfrak{g} = \mathfrak{sl}_{n+1}\mathbb{C}$  )

The Weyl group  $W$  is the finite group generated by the reflections  $r_\alpha$  in all root planes  $\ker \alpha$ ,  $\alpha \in \Delta$ .

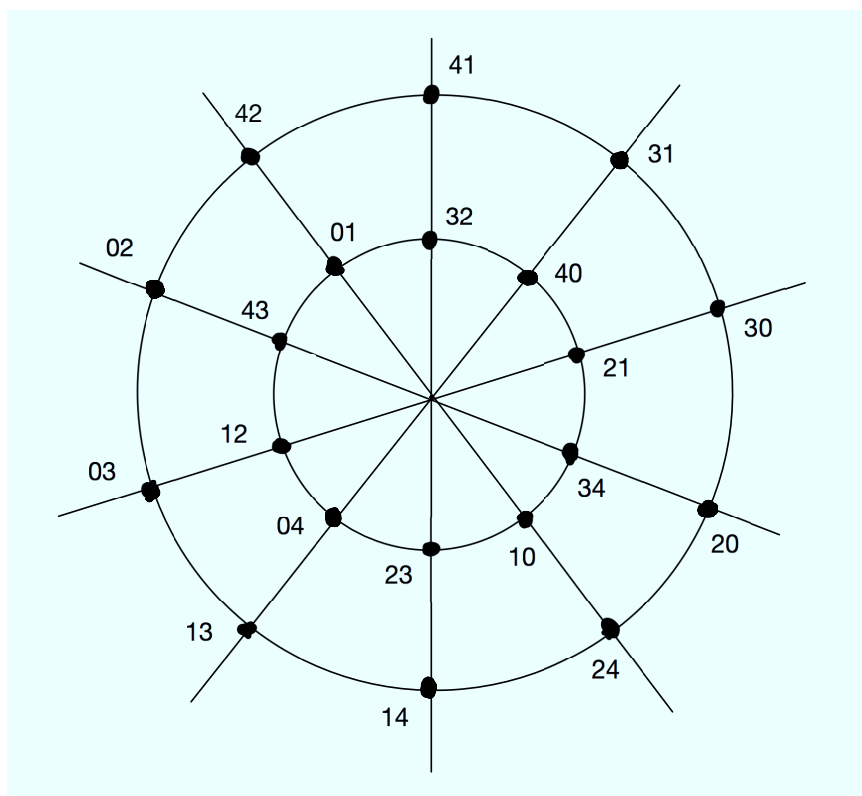
The Coxeter element is the element  $\gamma = r_{\alpha_1} \cdots r_{\alpha_l}$  of  $W$ . Its order is called the Coxeter number of  $\mathfrak{g}$ , and we denote it by  $s$ .

Fact (Kostant): The Coxeter element  $\gamma$  acts on the set of roots  $\Delta$  with  $l$  orbits, each containing  $s$  elements.

(if  $\mathfrak{g} = \mathfrak{sl}_{n+1}\mathbb{C}$  then  $l = n$ ,  $s = n + 1$ , and  $W$  is the permutation group on  $n + 1$  objects)

The Coxeter Plane is the result of projecting  $\Delta$  orthogonally onto a certain real plane in  $\mathfrak{h}^*$ .

E.g. the Coxeter Plane for  $\mathfrak{g} = \mathfrak{sl}_5\mathbb{C}$ :



(there are 20 roots  $x_i - x_j$ ,  $0 \leq i \neq j \leq 4$ , and the Coxeter element acts by the permutation (43210); there are  $l = 4$  orbits, each containing  $s = 5$  elements)

**Theorem:** (M. Guest - N.-K. Ho, arXiv 2018)

(i) The Coxeter Plane is a diagram of the Stokes sectors for the  $tt^*$ -Toda equation.

(ii) The Stokes matrices can be computed Lie-theoretically in terms of a Lie group element

$$M^{(0)} = C(s_1, \dots, s_l) \in \mathrm{SL}_{n+1}\mathbb{C}$$

where  $C$  is a “Steinberg cross-section” of the set of regular conjugacy classes of  $\mathrm{SL}_{n+1}\mathbb{C}$ .

Remark:  $A \in \mathrm{SL}_{n+1}\mathbb{C}$  is regular iff it satisfies “minimal poly. of  $A$  = characteristic poly. of  $A$ ”.



Moreover, the space of solutions also has a Lie-theoretic interpretation:

Recall that the solutions are parametrized by  $(n + 1)$ -tuples  $(m_0, \dots, m_n)$  satisfying

$$(*) \quad m_{i-1} - m_i + 1 \geq 0 \quad (\text{i.e. } k_i \geq -1)$$

$$(**) \quad m_i + m_{n-i} = 0 \quad (\text{i.e. } k_i = k_{n-i+1})$$

The inequalities  $(*)$  define a convex polytope.

Let us write

$$m = \text{diag}(m_0, m_1, \dots, m_n)$$

$$\rho = \text{diag}\left(\frac{n}{2}, \frac{n}{2} - 1, \dots, -\frac{n}{2}\right)$$

Then the convex polytope given by the points

$$\frac{2\pi\sqrt{-1}}{n+1}(m + \rho)$$

is the [Fundamental Weyl Alcove](#) of the Lie algebra.

## Application 2 (physics): Particles and polytopes

In this section we show how the Coxeter Plane and the  $tt^*$ -Toda equations give a mathematical foundation for certain field theory models proposed by physicists in the 1990's.

The Coxeter Plane has appeared (implicitly) in articles on Toda field theory:

*M. Freeman, On the mass spectrum of affine Toda field theory, Phys. Lett. B 1991*

*P. Dorey, Root systems and purely elastic S-matrices I,II, Nuclear Phys. B 1991,1992*

In this “toy model” the authors proposed (amongst other things) the correspondence

particle  $\leftrightarrow$  orbit of root in Coxeter Plane  
mass of particle  $\leftrightarrow$  distance of root from origin

(if  $\mathfrak{g} = \mathfrak{sl}_{n+1}\mathbb{C}$  the mass of the particle corresponding to the orbit of the root  $x_i - x_j$  is  $2 \sin |i - j| \frac{\pi}{n+1}$ )

They checked that these proposals (as well as the other things) were consistent with the expected properties of a field theory.

A variant of this proposal was made in

*P. Fendley, W. Lerche, S. Mathur, and N.*

*Warner,  $N = 2$  supersymmetric integrable models from affine Toda theories, Nuclear Phys. B 1991*

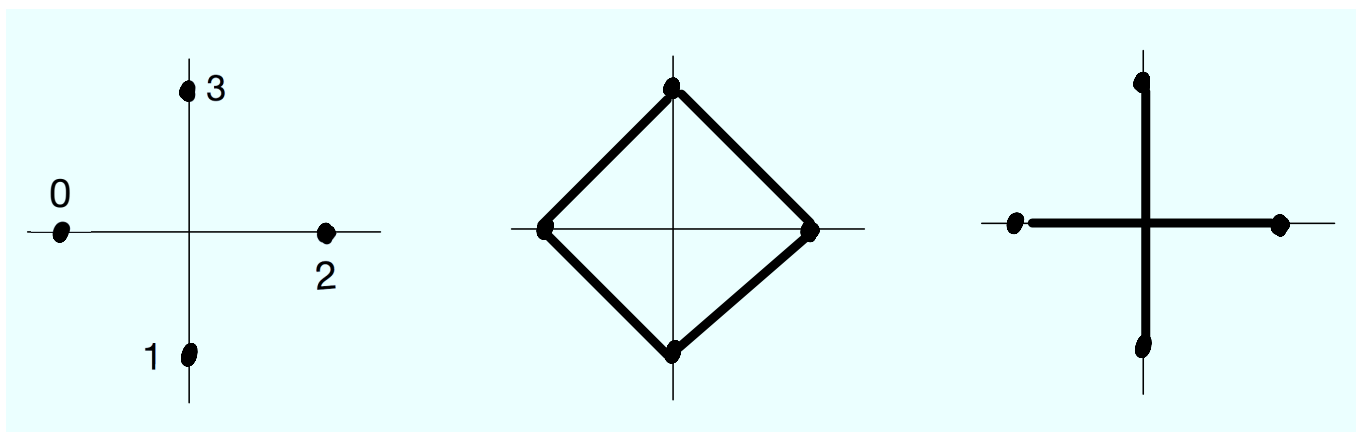
*W. Lerche and N. Warner, Polytopes and solitons in integrable  $N = 2$  supersymmetric*

*Landau-Ginzburg theories, Nuclear Phys. B 1991*

In these “polytopic models”, a finite-dimensional representation  $\theta$  of the Lie algebra  $\mathfrak{g}$  on a vector space  $V$  is chosen, and the “polytope” is the polytope in  $\mathfrak{h}^*$  spanned by the weights of the representation. The weight vectors (in  $V$ ) are taken to be the vacua of the theory. In this theory, “solitonic particles” tunnel between vacua: a soliton connects two vacua  $v_i, v_j$  if and only if the corresponding weights  $\lambda_i, \lambda_j$  differ by a single root, i.e.  $\lambda_i - \lambda_j \in \Delta$ . The physical characteristics of the particle are those of that root.

This discussion is purely algebraic (there is no differential equation). However, the polytopic models include certain Landau-Ginzburg models. The quantum cohomology of  $\mathbb{C}P^n$  is of this type, with:  $\theta = \lambda_{n+1}$  (standard representation of  $\mathfrak{sl}_{n+1}(\mathbb{C})$ ). Thus we can expect a role for solitons in the quantum cohomology of  $\mathbb{C}P^n$ .

The solitons are illustrated below for  $\mathfrak{sl}_4\mathbb{C}$ .



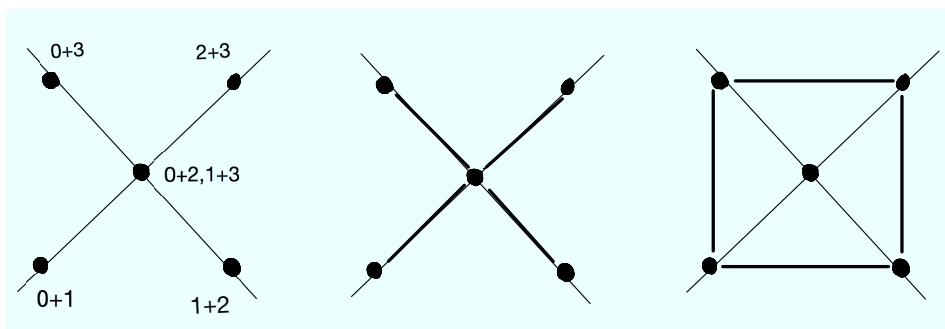
The first part shows the projections of the weights  $x_0, x_1, x_2, x_3$ . The second part shows (as heavy lines) the four solitons of type  $[01]$  (with mass  $2 \sin \frac{\pi}{4} = \sqrt{2}$ ). The third part shows the two solitons of type  $[02]$  (with mass  $2 \sin \frac{\pi}{2} = 2$ ). In this example, any two vacua are connected by a soliton.

In the above example we chose  $\theta = \lambda_{n+1}$ . If we choose

$$\theta = \wedge^k \lambda_{n+1}$$

we obtain a different polytopic model. It turns out that the quantum cohomology of the Grassmannian  $Gr_k(\mathbb{C}^{n+1})$  is of this type.

The solitons are illustrated below for  $\mathfrak{sl}_4\mathbb{C}$ . The first part shows the projections of the weights  $x_i + x_j$  with  $0 \leq i \neq j \leq 3$ . The second part shows the four solitons of type [01] (with mass  $\sqrt{2}$ ). The third part shows the four solitons of type [02] (with mass 2).



Each solution of the  $tt^*$ -Toda equation (e.g. that with  $m = -\rho$ ) is associated to a field theory.

That theory fits into this framework as follows.

**Corollary:** (of the proof of Theorem 2 on the asymptotics at  $t = \infty$ )

The linear combination on the left hand side of

$$-\frac{4}{n+1} \sum_{p=0}^{[\frac{1}{2}(n-1)]} w_p \sin \frac{(2p+1)k\pi}{n+1} \sim s_k F(L_k|t|)$$

corresponds to a certain<sup>†</sup> basis vector of  $\mathfrak{h}$  (or  $\mathfrak{h}^*$ ) associated to an orbit of the Coxeter group.

Thus we can say that [the Stokes parameter  \$s\_k\$  is naturally associated to the  \$k\$ -th orbit, or particle.](#)

Physicists call  $s_k$  the soliton multiplicity.

<sup>†</sup>Reference: *M. Guest, arXiv 2020*

Cecotti and Vafa used this to give a physical argument for an “equivalence”

$$\wedge^k QH^*(\mathbb{C}P^n) \approx QH^*(Gr_k(\mathbb{C}^{n+1}))$$

(more precisely, an equivalence of underlying field theories). This tt\* argument was explained in

*M. Bourdeau, Grassmannian  $\sigma$ -models and topological–anti-topological fusion, Nuclear Phys. B 1995*

Later, mathematicians gave proofs of versions of this isomorphism (which they regard as a special case of the quantum Satake isomorphism, or abelian-nonabelian correspondence). E.g.

*V. Golyshev and L. Manivel, Quantum cohomology and the Satake isomorphism, arXiv:1106.3120*



Our Lie-theoretic description of the solutions of the  $tt^*$ -Toda equations supports the original physics argument, because

solution with  $m = -\rho \quad \overset{\theta = \lambda_{n+1}}{\longleftrightarrow} \quad QH^*(\mathbb{C}P^n)$

solution with  $m = -\rho \quad \overset{\theta = \wedge^k \lambda_{n+1}}{\longleftrightarrow} \quad QH^*(Gr_k(\mathbb{C}^{n+1}))$

i.e. the **same solution** of the  $tt^*$ -Toda equations gives both  $QH^*(\mathbb{C}P^n)$  and  $QH^*(Gr_k(\mathbb{C}^{n+1}))$

The Stokes matrices of the respective quantum differential equations are **different** (they can be read off from  $M^{(0)}$  and  $\wedge^k M^{(0)}$  respectively). But the Stokes parameters  $s_k = \binom{n+1}{k}$  are the **same** for  $QH^*(\mathbb{C}P^n)$  and  $QH^*(Gr_k(\mathbb{C}^{n+1}))$ .



### Application 3 (physics): Minimal models

Recall that the “Higgs fields”

$$\eta(z) = \begin{pmatrix} & & & z^{k_0} \\ & & & \\ z^{k_1} & & & \\ & & & \\ & \ddots & & \\ & & & \\ & & & z^{k_n} \\ & & & \end{pmatrix}$$

(with  $k_i \in [-1, \infty)$ ,  $n + 1 + \sum_{i=0}^n k_i = N$ ,  $k_i = k_{n-i+1}$  for  $i = 1, \dots, n$ ) parametrize solutions of the  $tt^*$ -Toda equations.

In this section we consider  $\eta dz$  with  $k_i \in \mathbb{Z}_{\geq 0}$  and assume that  $N$  is coprime to  $k = \sum_{i=0}^n k_i$ .

Thus we move away from the  $tt^*$ -Toda equations (but we note that the Higgs fields with  $k_i = k_{n-i+1}$  for  $i = 1, \dots, n$  form a dense subset of solutions of the  $tt^*$ -Toda equations).

The authors of

*L. Fredrickson and A. Neitzke, From  $S^1$ -fixed points to  $W$ -algebra representations, arXiv:1709.06142*

study a certain moduli space  $M_{K,N}$  of Higgs fields with a  $\mathbb{C}^*$ -action whose fixed points are all the  $\eta dz$  with  $K, N$  fixed (a finite number). Quoting from this article:

“We ... exhibit a curious 1-1 correspondence between these fixed points and certain representations of the vertex algebra  $W_K$  ; in particular we have  $12\mu = K - 1 - c_{eff}$ , where  $12\mu$  is a ... norm of the Higgs field, and  $c_{eff}$  is the effective Virasoro central charge.”

“The formula  $12\mu = K - 1 - c_{eff}$  is puzzling. Why should  $W_K$  and  $M_{K,N}$  have anything to do with one another?”

As an application of our Lie-theoretic Stokes formula

$$M^{(0)} = C(s_1, \dots, s_l) \in \mathrm{SL}_{n+1}\mathbb{C}$$

we shall give a mathematical explanation — a direct path from the Higgs field  $\eta dz$  to the representation.

Reference: *M. Guest and T. Otofujii, arXiv 2021*

Recall that the irreducible positive energy representations of the affine Kac-Moody algebra  $\widehat{\mathfrak{sl}}_{n+1}\mathbb{C}$  of level  $k$  ( $\in \mathbb{N}$ ) are parametrized by dominant weights  $(\Lambda, k)$ , where  $\Lambda$  is a dominant weight of  $\mathfrak{sl}_{n+1}\mathbb{C}$  of level  $k$ .

Let  $P_+$  be the set of dominant weights of  $\mathfrak{sl}_{n+1}\mathbb{C}$ , and  $P_k = \{ \text{dominant weights of level } k \}$ .

It is well known that  $P_k + \rho = P_+ \cap (k + n + 1)\mathring{A}$  where  $\mathring{A}$  denotes the interior of the Weyl alcove  $A$ .

Let  $\mathring{A}_k = \left( \frac{1}{k+n+1} P_+ \right) \cap \mathring{A}$ . Let  $\theta : \mathring{A}_k \rightarrow P_k + \rho$  be the identification given by

$$\theta(v) = (k + n + 1)v \in P_+ \cap (k + n + 1)\mathring{A} = P_k + \rho.$$

Recall that the Stokes data (of the Higgs field  $\eta dz$ ) is represented by the matrix  $M^{(0)}$ . It follows from the assumption  $k_i \in \mathbb{Z}_{\geq 0}$  that  $M^{(0)}$  is semisimple; in fact it is conjugate to the diagonal matrix

$$e^{\frac{2\pi\sqrt{-1}}{n+1}(m+\rho)}$$

(recall that  $\frac{2\pi\sqrt{-1}}{n+1}(m+\rho)$  is in the Fundamental Weyl Alcove of  $\mathfrak{sl}_{n+1}(\mathbb{C})$ ).

**Lemma:** Let  $2\pi\sqrt{-1}\epsilon_1, \dots, 2\pi\sqrt{-1}\epsilon_n$  denote the basic weights of  $\mathfrak{sl}_{n+1}(\mathbb{C})$ . Then:

$$\frac{N}{n+1}(m+\rho) = \rho + \sum_{i=1}^n k_i \epsilon_i.$$

Proof: This is equivalent to the relation

$$m_{i-1} - m_i + 1 = \frac{n+1}{N}(k_i + 1)$$

which defines the  $m_i$  in terms of the  $k_i$ . □

It follows that  $\theta\left(\frac{1}{n+1}(m+\rho)\right) = \rho + \sum_{i=1}^n k_i \epsilon_i$ .

Thus, from the Stokes data  $M^{(0)}$  we obtain the positive energy representation with dominant weight  $(\sum_{i=1}^n 2\pi\sqrt{-1} k_i \epsilon_i, k)$ .

It is well known (Bouwknegt and Schoutens) that the  $W$ -algebra  $W_{n+1}$  intertwines with any such representation, and that the effective central charge is given by the formula

$$c_{eff} = n - 12 \frac{n+1}{N} \left| \sum_{i=1}^n k_i \epsilon_i - \frac{k}{n+1} \rho \right|^2.$$

By the lemma we have  $\sum_{i=1}^n k_i \epsilon_i - \frac{k}{n+1} \rho = \frac{N}{n+1} m$  so

$$c_{eff} = n - 12 \frac{N}{n+1} |m|^2.$$

This is the formula of Fredrickson and Neitzke which Higgs fields and representations of  $W_{n+1}$ . Our construction shows that the [Stokes data](#) of the Higgs field is responsible for the relation.

Remark: For fixed  $n + 1$  and  $N$  the finite number of Higgs fields give a finite number of representations. These constitute the “ $(n + 1, N)$   $W_{n+1}$  minimal model”.





Thank you !